

REINFORCED CONCRETE DESIGN OF TALL BUILDINGS



ASCE/SEI 7-05
2006/2009 IBC
ASCE/SEI 41-06
ACI 318-05/08

BUNGALE S. TARANATH, PH.D., P.E., S.E.



 CRC Press
Taylor & Francis Group

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CRC Press
Taylor & Francis Group
6000 Broken Sound Parkway NW, Suite 300
Boca Raton, FL 33487-2742

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Printed in the United States of America on acid-free paper
10 9 8 7 6 5 4 3 2 1

International Standard Book Number: 978-1-4398-0480-3 (Hardback)

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Library of Congress Cataloging-in-Publication Data

Taranath, Bungale S.

Reinforced concrete design of tall buildings / by Bungale S. Taranath.

p. cm.

Includes bibliographical references and index.

ISBN 978-1-4398-0480-3 (alk. paper)

1. Reinforced concrete construction. 2. Tall buildings--Design and construction. 3. Tall buildings--Design and construction--Case studies. I. Title.

TH1501.T37 2010

691'.3--dc22

2009024350

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Without whose patience and devotion, this book would not be.

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250 ksi	270 ksi
$\epsilon_{ps} \leq 0.0076: f_{ps} = 28,500 \epsilon_{ps}$ (ksi)	$\epsilon_{ps} \leq 0.0086: f_{ps} = 28,500 \epsilon_{ps}$ (ksi)
$\epsilon_{ps} > 0.0076: f_{ps} = 250 - \frac{0.04}{\epsilon_{ps} - 0.0064}$ (ksi)	$\epsilon_{ps} > 0.0086: f_{ps} = 270 - \frac{0.04}{\epsilon_{ps} - 0.007}$ (ksi)

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Foreword

In 1980, an ACI convention was convened in San Francisco. During the presentations, the qualities of concrete with respect to strength and durability were discussed, among other properties. It was clear from the reaction of the local audience that certain sections of the structural engineering profession believed and expressed their beliefs that concrete was not a viable product for seismic areas and loads.

However, during this convention, it became apparent that many representatives from other parts of the world were in fact determined to present this material as modern and capable of being a strong, durable, and flexible building product. The facts for such a large demonstration for concrete came from the Caribbean, South Africa, the Middle East, and most of Africa—all areas where structural steel cannot be easily procured.

Since then, we have witnessed the science of enhanced concrete properties. We have developed high-strength concrete from 10 to 14 ksi. We have learned that improved aggregates can create a variable modulus of elasticity. Tests have also shown that steel ratios are important in improving durability.

Having said this, we owe a great debt of gratitude to Dr. Taranath. He has created a state-of-the-art book on modern concrete systems, and environmental responses.

His introduction is worth the price of this book. He doesn't confine his expertise to concrete buildings; he expresses his concern about the state of the industry concerning our loss of judgment, and our sixth sense as engineers. His description of being able to smell a reasonable solution is really the same as what the great engineer Pier Luigi Nervi meant when he said there is no substitute for intuition. Intuition, that is, smell, is not an emotional response but rather that wonderful ability we have to accumulate experiences, and to bring them together at the proper time to create a solution.

I think one of the charming attributes of this book is that it reflects the writer in an easy, humorous way. Dr. Taranath expresses his concern for technology versus judgment and is undoubtedly a teacher with natural ability. This book reads like a novel. It first tackles simple issues and then works its way up to some esoteric topics. It covers performance-based designs as well as the most advanced science of seismic engineering and retrofitting.

The chapters in this book are rationally organized. Each chapter builds on the other. The beginning of each chapter discusses in detail the phenomenon the chapter deals with, for example, seismic systems, etc., and then it applies this phenomenon to concrete and concludes with several diagrams and details.

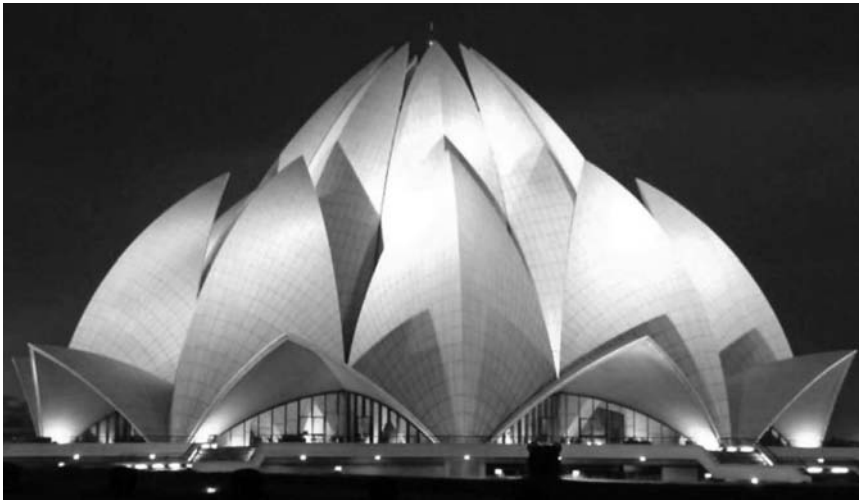
Dr. Taranath has turned concrete into a modern building material with modern systems. This book is complete and spectacular. There is no doubt that reinforced concrete is the most widely used building material in the world. Concrete can be as simple as local stone and cement, or as complex as aggregate, silica fume, and cement and admixtures. Concrete is used for block masonry in emerging nations and for super-high-rise buildings such as the wonderful Burj Dubai, which towers at 2,684 ft.

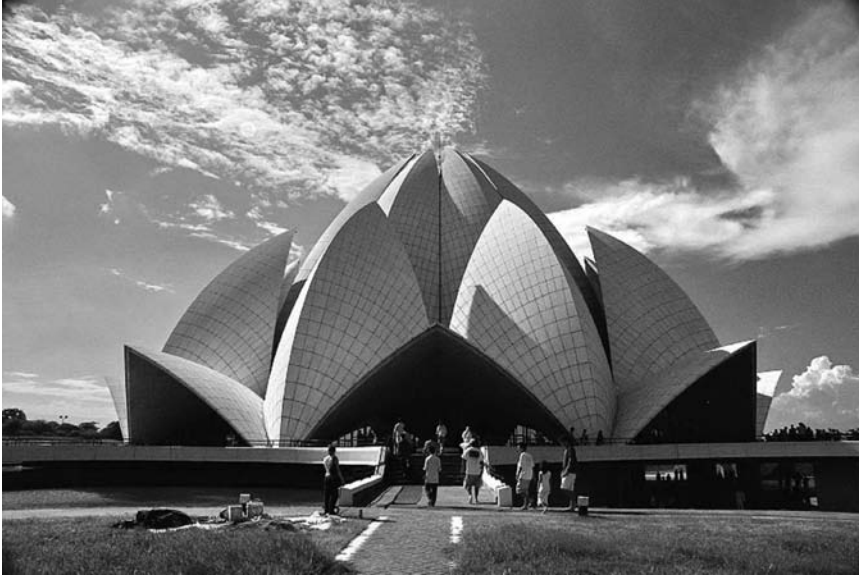
As a building product, it can be molded into any form that is required. Its production is safe, and there is no danger of molten fluids. Concrete, in the words of I.M. Pei, is a material with a soul. People are often puzzled by this statement. How can concrete have a soul? Concrete can be formed and shaped; it can have its color varied and its surface textured; it can be delivered by placement or pumping; and of all the modern building materials, it comes the closest to being able to replicate the Hagia Sophia or the Cathedral at Chartres. Thus, it has a soul.

One of the greatest concrete buildings is the Baha'i House of Worship in New Delhi, India. This temple was cast on wooden forms. The concrete was placed on the forms to resemble a giant lotus blossom—the icon for the sect. The Baha'i temple is approximately 131 ft high and comprises of 26 acres of land including surrounding gardens. The structure is absolutely amazing. The complexity of the building belies the nature of its construction; thousands of workers worked tirelessly taking filled concrete pans atop steep scaffoldings. It is easy to see how concrete can have a soul when you see this building.

Concrete is a common denominator throughout the world. It is found everywhere. When I designed the Jianguo Hotel in Beijing in 1978, we did not even have an ambassador to that country. The preferred material was reinforced concrete. There was no steel construction close enough to cater to this first American/Communist Chinese hotel in China. These were early times in the development of our nations, yet concrete was the vernacular that I and the number one construction company in Peking chose.

The Jianguo went up as a simple reinforced concrete-bearing wall structure. The forms were wood, the concrete locally produced, and the workforce from the surrounding city; thus a safe seismic-resistant egg crate was developed.





Lotus Temple—Bahá'í House of Worship, New Delhi, India. Built in 1986 using reinforced concrete, the Bahá'í House of Worship comprises of 27 freestanding concrete forms that cluster together to resemble a lotus flower. Architect: Fariborz Sahba. Structural Engineer: Flint & Neil Partnership.

The Northrup Avations Peace Hawk V program in Saudi Arabia demonstrated the versatility of concrete. The F-5 fighter program was supported by ground avionics. The facilities consisted of hangars, offices, aviation departments, and warehouses. The material and product used were concrete. This concrete, however, was made into concrete blocks with the use of a very primitive U.S. aid machine, which literally stamped the shape of an ASTM C-90 Block. These blocks were placed up to 20ft high, reinforced in their cells, and grouted. I made a discovery at that time. Due to the variable nature of the ingredients in cements then (1976), we experienced unusual amounts of drying shrinkage. In order to avoid demolishing and removing these pavements and jet plane runways, it was decided to put a 6in. sand dike around the concrete area and flood the area with water. The concrete was submerged for six days under water, resulting in a dense and translucent concrete that looked like marble. All the cracks were autogenously healed. The water was reintroduced into the hydration cycle of the concrete, mixed with unhydrated cement, and quickly refilled until the cracks could no longer be located other than thin marble-like veins, which, as in the human body, can be self-healing. Just as a human skeleton is strongest at a previous fracture, so too is concrete.

Because concrete is easily formed and shaped, its compression strength makes it the ideal material for shells of any nature. The work of Felix Candela in developing plates and shells wherein he spans 100m with a 5 cm concrete shell truly opens the possibility for the free form Catia-driven shapes of Gehry Buildings in concrete.

Concrete is perfect for membrane stresses. The potential was realized in my design of the 120ft diameter roof of the Lebanon Senior High School. This roof was flat and was spanned using a 20in. thick hollow slab. This span and thickness of concrete were made possible by the fact that as a circular shell deflects, it creates compression in membrane stresses, thus minimizing the deflection. Dr. Timoshenko, the father of concrete plates and shells, shows that the span of a circular slab is represented by the radius of the circle rather than its diameter.

The grand dome of the Meridian Hotel in Chennai, India, was constructed using concrete. This dome was created by hundreds of workers who climbed scaffoldings with pans full of concrete on their heads. The dome was constructed in concentric rings. There were no doubt cold joints in the concrete, but the dome was in compression and the issue was minimized.

A dome was simultaneously being built in the Bahamas at Paradise Island's Atlantis Resort. This dome was built with two men instead of hundreds using balloon technology. A balloon was inflated with a 140 ft diameter and the balloon took on the form of the dome. This is an example of the same material being used with two totally different technologies, which clearly shows the versatility and ability of concrete to be adjusted to different levels of technology. No other building material has this quality.

There is no doubt that concrete has life and form, and will probably be the material of the future. Advances in exotic reinforcing and chemical admixtures can now produce concrete batches with up to 24ksi strength in the laboratory.

This book is the key to understanding not only the technology of concrete structures but also the organic nature of this basic material, which is used in every corner of the world.

Vincent J. DeSimone
DeSimone Consulting Engineers
Miami, Florida

ICC Foreword

The field of structural engineering and concrete design of buildings has gone through enormous changes since the time I was in college in the mid-1970s. At that time, one of the best books on the subject of concrete was *Reinforced Concrete Fundamentals* by Phil M. Ferguson. Computerized design of concrete buildings was in its infancy, and I took my stack of 100 “punch cards” for even the simplest design of a reinforced concrete column to a computer center to be processed by a room-sized central campus computer.

Advances in technology, state-of-the-art research, globalization in the immediate transfer of information, and many other trends have transformed design by leaps and bounds. While the basics of flexure member design, compression, torsion, and related concepts have remained fundamentally the same, today’s structural engineering students and practicing engineers have access to multiple computer design programs through the most powerful computers on their laptops. Hence, it is much more difficult to assist today’s engineers in identifying the best and most appropriate resources among hundreds of textbooks, articles, research papers, and online information. Tall and super-tall concrete buildings are very common now, not just in developed countries, but in most parts of the world, including highly populated countries such as China and India.

Reinforced Concrete Design of Tall Buildings by Dr. Taranath leads readers through an exploration of the intricacies of today’s concrete design in a skillful manner keeping real-life issues in mind. The most complicated issues of design are presented in an easy-to-understand language, supplemented by numerous illustrations to further enhance the understanding of the subject. This book is packed with design examples, with Chapter 6 dedicated entirely to seismic design examples.

The most recent findings of building damage or failures caused by seismic or high-wind events have resulted in extensive changes in the areas of seismic and wind designs and detailing. Advances in research and technology necessitate that the International Building Code (IBC) and ACI 318 be published every three years to keep up with innovations and new technologies and research. Both seismic and wind designs based on today’s building codes seem to be more complicated than ever before. Accordingly, Dr. Taranath has included updates to ACI 318–08 and the recently released 2009 IBC, as well as the new wind-design provisions of the National Building Code of Canada. To facilitate easy application and use, complete chapters have been dedicated to seismic and wind designs.

Failure patterns, considerations for explosions, progressive collapse, and alternative designs for the reduction of the potential for progressive collapse are other important and current design issues that are covered in this book. Finally, the seismic rehabilitation of existing buildings, which is seldom found in a reinforced concrete design book, is extensively addressed in the last chapter.

In addition to overseeing most of the technical support publications of the International Code Council (ICC), many of which are in the field of structural engineering, I also review an extensive number of books for the ICC’s joint efforts and partnerships on a regular basis. This book is truly one of the most interesting and well-laid-out publications that I have reviewed, which is why it was an easy decision for the ICC to be a partner in its co-branding. Structural engineers comprise the most important core of building safety and sustainability professionals by developing responsible, efficient, effective, safe, and economical designs. This book is a significant contribution to that effort.

Hamid A. Naderi
International Code Council

Preface

As I reflect on my career as a practicing engineer, I am struck by the profound conceptual and methodological changes that computer-enhanced design has brought to our field. Today, and especially in the last decade or so of computer use and software engineering, we can develop numerical solutions to an astonishing number of decimals with a degree of precision that was previously unfathomable. On account of liability issues, engineering innovations these days must also be analytically proven and strenuously tested to an extent unknown in the past. In spite of these concerns, the art of being able to smell or feel a reasonable solution must necessarily continue to exist. Without such intuition and creativity, we might tend to rely on computer applications as engineering itself, instead of as a necessary tool.

As structural engineers, our primary task is to take someone else's vision of a project, convert it into analytical and numerical models, and then produce a set of buildable documents. However, the current trend in engineering education seems to focus more on the behavior of computer-based mathematical models while seldom acknowledging their fallibilities. Given this scenario, one may wonder if the era of engineers who endorsed structural attitudes based on their qualitative knowledge of the behavior of the structures is gone.

There is no doubt that navigating complicated software is certainly a critical and necessary part of a designer's vocabulary. My sense, however, is that such skills would be more powerful, accurate, and useful if built upon a solid foundation of engineering principles and conceptual knowledge. I am not alone in voicing these ideas; a plethora of recently published journal articles, opinion pieces, and conference presentations address this ever-increasing gap between the conceptual approach and the scientific illusion created by computer solutions.

These thoughts occur to me in my day-to-day engineering and more specifically as I was preparing this manuscript. Therefore, the challenge I set for myself in this book was to bridge these two approaches: one that was based on intuitive skill and experience, and the other that relied on computer skills. Imagine then the design possibilities when experiential intuition marries unfathomable precision and numerical accuracy.

Engineers are generally characterized as imaginative in their design approach as supported by historical evidence, which includes the creation of ancient structures, medieval cathedrals, and the skyscrapers of today. None of these structures, except for those built in the last decade, were developed using intense calculations as we know them today, but were more products of inventive imagery.

Even with the availability of immense analytical backup, imaginative thinking can and must be effectively used to apply basic concepts to complex problems. Therefore, the stimulus for writing this book was to develop imaginative approaches by examples, and, where appropriate, relate these specific examples to building codes that are essential and mandatory tools of the trade.

The motivation that propelled me into writing this book addresses the question frequently proposed to the designer by the architects: "Can we do this?" And, in the flash-track world that we live in, the time frame allowed for coming up with an answer is measured in days, and, sometimes, even in hours. Such a time constraint does not allow for extensive research or for time-consuming analytical procedures. What is needed is the proverbial back-of-the-envelope analysis that serves as a quick means of evaluating the efficacy of a concept that would then also serve as a check of computer solutions.

Typically, when we prepare a back-of-the-envelope design, the purpose is to make sure we get into the ballpark; once you are in, it is easy enough to find the right row in the analysis phase, and, eventually, to find the right seat.

Finding the ballpark is thus an essential part of the conceptual design. As a designer you will soon learn that once a building program is set it cannot be changed, and the only real option is to mitigate mistakes in concept. On the other hand, if the first step is in the right direction with allowances for potential contingencies, the design will flow smoothly so long as the design has some breathing room.

Chapter 1 discusses selected fundamental concepts. The objective is to develop a “feeling” for overall structural behavior and to provide the designer with the basic insight necessary to the effective development of a design. The subsequent chapters provide detailed discussions of the basic concepts.

Chapter 2 deals with the behavior of gravity components. In addition to common types of framings such as one-way and two-way slabs, novel systems, such as haunch girder systems, are also discussed. An in-depth discussion of prestressed concrete design is presented along with approximate methods to assist engineers in “doing schematics in a meeting.”

The focus of Chapter 3 is the design of lateral load-resisting systems. The objective is to control the building behavior through a bracing program that is effective from both the perspectives of cost and behavior. The design concept must be less expensive and better than its alternative if it is to be accepted or adapted. Thus, it is incumbent on the designer to create a cost-effective design in order for it to be realized. This chapter discusses flat slab-frames, coupled shear walls, core-supported structures, tube buildings, and spine-wall structures.

Chapter 4 deals with the determination of design wind loads using the provisions of ASCE 7–05. Wind-tunnel procedures using rigid, high-frequency base and aeroelastic models are discussed, including analytical methods for determining wind response and motion perception. Guidelines are presented for evaluating the acceptability of wind-induced motions of tall buildings.

Chapter 5 covers seismic designs. It develops a design methodology for each component and shows how seismically induced demands may force members to deform well beyond their elastic limits. Detailing considerations for such nonelastic excursions are discussed, and, where appropriate, codification concepts are reduced to a level of analytical simplicity appropriate for the design. The goals are to reduce component design to as simple a process as possible and to highlight design objectives often concealed in the codification procedure. Also discussed in this chapter is the design approach prior to IBC 2002, in which the magnitude of seismic force and level of detailing were strictly a function of the structure’s location. This is compared with relatively recent provisions, in which these are not only a function of the structure’s location but also of its use and occupancy, and of the type of soil it rests upon. This comparison will be particularly useful for engineers practicing in seismically low- and moderate-risk areas of the United States, who previously did not have to deal with aspects of seismic design. This chapter concludes with an in-depth review of structural dynamic theory.

Chapter 6 provides examples of seismic designs and detailing requirements of concrete buildings. Detailing provisions prescribed in ACI 318–05/08 (Chapters 1 through 20 for buildings assigned to SDC A or B, and in Chapter 21 for those in SDC C and higher) are discussed. Also presented are the designs of special moment frames, shear walls, floor diaphragm-chords, and collectors. Recent revisions to ACI 318 are discussed in the final section.

Chapter 7 is devoted to the structural rehabilitation of seismically vulnerable buildings. Design differences between a code-sponsored approach and the concept of ductility trade-off for strength are discussed, including seismic deficiencies and common upgrade methods.

Chapter 8 is dedicated to the design of tall buildings. It begins with a discussion on the evolution of their structural forms. Case studies of structural systems that range from run-of-the-mill bracing techniques to unique systems—including megaframes and spine-wall structures—are examined.

Finally, Chapter 9 covers a wide range of topics. It begins with a discussion on damping devices that are used to reduce the perception of building motions, including passive viscoelastic dampers, tuned mass dampers, slashing water dampers, tuned liquid column dampers, and simple and nested pendulum dampers. It then deals with seismic isolation and energy dissipation techniques. This is

followed by a discussion on preliminary analysis techniques such as portal and cantilever methods and an in-depth discourse on torsion analysis of open section shear walls with a particular emphasis on their warping behavior. The final section of this chapter covers performance-based designs (PBDs) for the structural design of new buildings. This approach, used for the seismic design of very tall buildings constructed in the western United States within the last few years, has set in motion new ways of doing things. A discussion on the more challenging design issues that may defy codified doctrines, such as height limits, the selection of response modification factors, and peer-review requirements, is presented to introduce engineers to this emerging technology.

Before concluding the preface, it is worth remembering that reinforced concrete as a building material provides a medium that inspires architectural freedom. The design is not peculiar to the material and must satisfy the same basic fundamental laws of equilibrium, compatibility, and compliance with the appropriate stress–strain relationship. The choice of concrete does not pose constraints on the architectural expressionism of structure nor on the free form of today’s architectural styles.

This book is a modest attempt to explore the world of concrete as it applies to the construction of buildings while simultaneously striving to seek answers to the challenges I set for myself. It is directed toward consulting engineers, and, within the academy, the book may be helpful to educators and students alike, particularly as a teaching tool in courses for students who have completed an introductory course in structural engineering and seek a deeper understanding of structural design principles and practices. It is my hope that this book serves as a comprehensive reference for the structural design of reinforced concrete buildings, particularly those that are tall.

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Acknowledgments

I wish to express my sincere appreciation and thanks to Vincent J. DeSimone, chairman, DeSimone Consulting Engineers, for his support and encouragement during the preparation of this book and for setting a positive tone for the entire book by writing an eloquent foreword.

My sincere gratitude to Farro Tofighi, managing principal, DeSimone Consulting Engineers, Las Vegas, Nevada, for reviewing the entire manuscript and offering valuable suggestions. I am indebted to him for his confidence in me and will always remain true to his friendship.

I would like to acknowledge the following individuals for their help in the preparation of this book: Samantha Roy, Nichole Hern, Ken Martin, Daniel Schepp, Dr. Ali Shirazi, Alan Dyck, Carol McCullough, David Sze, Elie Elriachi, Felix Madrigal, Filbert Apanay, Frank Reppi, Heinz Kuo, Hui Li, Jason Crabtree, Rani Alhelou, Robert Fortney, Roozbeh Tabatabaai, Sandy Peltyn, Tarek Bannoura, Vijayarajan Krishnaswamy, William Schaffer, and Kyle Fisher, with special thanks to Michael Stavropoulos for organizing tables and graphs in the book.

We are also grateful to Brian Breukelman, consultant, CPP Wind Engineering & Air Quality Consultants, Fort Collins, Colorado, for reviewing the wind chapter and offering constructive suggestions.

My sincere appreciation and thanks to Mark A. Johnson, senior vice president of business and product development, International Code Council (ICC), and Mike C. Mota, manager, Atlantic Region, Concrete Reinforcing Steel Institute (CRSI), for their help in co-branding this book.

Thanks are also due to Jennifer Ahringer, production coordinator, editorial product development, and Joseph Clements, acquisitions editor, CRC press, Taylor & Francis, for their patience and cooperation in the production of this book.

Thanks in no small measure are due to my friend of many years, M.V. Ravindra, president and CEO, LeMessurier Consultants, Cambridge, Massachusetts, for valuable advice during the preparation of this book.

Everlasting thanks to my daughter, Dr. Anupama Taranath; son-in-law, Dr. Rajesh Rao; son, Abhi Taranath; daughter-in-law, Kristin Taranath; grandsons, Vijay and Kavi; and granddaughters, Anika and Maya, for their love throughout the writing of this book that stole valuable time from my family life.

A Special Acknowledgment

This book, like my other ones, would not have been written had it not been for my wife Saroja Taranath. Her wisdom, generosity, and power of reassurance are awe-inspiring. I can only wonder at my good fortune at having met her in this life, and say from the bottom of my heart, thank you for all you have given me.

My source of inspiration, she helped shepherd the manuscript through its many stages with good humor and grace. Her patience and unyielding support, unconditional love, and congenial manner, always present over the many years of my book-writing career, have often left me astounded. Without her absolute commitment, this modest contribution to structural engineering would not have been possible.

Author



Dr. Bungale S. Taranath, PhD, SE, is a corporate consultant to DeSimone Consulting Engineers, a consulting firm, with offices in New York, Miami, San Francisco, New Haven, Las Vegas, Hong Kong, and Abu Dhabi. He has extensive experience in the design of concrete, steel, and composite tall buildings and has served as principal-in-charge for many notable high-rise buildings. He has held positions as a senior project engineer in Chicago, Illinois, and as vice president and principal-in-charge with two consulting firms in Houston, Texas; he has also served as a senior project manager with a consulting firm in Los Angeles, California. Dr. Taranath is a member of the American Society of Civil Engineers

and the American Concrete Institute, and a registered structural and professional engineer in several states. He has conducted research into the behavior of tall buildings and shear wall structures and is the author of a number of published papers on torsion analysis and multistory construction projects. He has previously published three books: *Structural Analysis and Design of Tall Buildings*; *Steel, Concrete, and Composite Design of Tall Buildings*; and *Wind and Earthquake Resistant Buildings, Structural Analysis and Design*. Two of his books were translated into Chinese and Korean and were widely accepted in Asia. Dr. Taranath has conducted seminars on tall-building design in the United States, China, Hong Kong, Singapore, Mexico, India, and England. He was awarded a bronze medal in recognition of a paper presented in London, when he was a fellow of the Institution of Structural Engineers, London, England. Taranath's passion for tall buildings has never slackened. Today his greatest joy is sharing that enthusiasm with owners, architects, and fellow structural engineers to develop imaginative solutions for seemingly impossible structures.

1 Design Concept

Design concept is an impressive term that we use to describe the intrinsic essentials of design. The concept encompasses reasons for our choice of design loads, analytical techniques, design procedures, preference for particular structural systems, and of course, our desire for economic optimization of the structure. To assist engineers in tackling the design challenge, this introductory chapter is devoted to developing a “feeling” for behavior of structural systems.

It is this “feeling” for the nature of loads and their effect on structural systems that paves the way for our understanding of structural behavior and allows the designer to match structural systems to specific types of loading. For example, designers of tall buildings, recognizing the cost premium for carrying lateral loads by frame action alone, select a more appropriate system such as a belt and outrigger wall or a tubular system instead. And engineers designing for intense earthquakes knowing that building structures must sustain gravity loads at large deformations, select moment frames and/or shear walls with ductile connections to provide for the deformation capacity.

As with other materials, the strength and deformation characteristics of reinforced concrete members are important in the design of buildings. In particular, buildings designed to resist seismic forces must have well-detailed members and joints such that the building can sustain large lateral deformations without losing its vertical load-carrying capacity.

In reinforced concrete structures, the reinforcing bars and concrete are almost always subject to axial stress in tension or compression resulting from various load applications. However, they are usually stressed in a manner quite different from that in a simple axial compression or tensile test.

1.1 CHARACTERISTICS OF REINFORCED CONCRETE

1.1.1 CONFINED CONCRETE

The term “confined concrete” generally applies to a condition in which concrete is confined in all directions. A reinforced concrete member with closely spaced spiral reinforcement or hoops is one such example. The confining reinforcement restrains the lateral strain in the concrete by increasing both its strength and ductility, as compared to unconfined concrete.

Examples of confined concrete elements are circular columns provided with transverse reinforcement in the form of continuous helical reinforcement, often referred to as spiral reinforcement or circular hoops. Rectangular columns enclosed by rectangular hoops, more common in building construction, are another example. Note that the transverse reinforcement is not stressed until an axial load is reached at which point the unconfined concrete tends to develop appreciable lateral strains. This generally occurs at about 85% of the unconfined strength. Beyond this point, the concrete tends to push against the transverse reinforcement, thereby creating a confining reaction as indicated schematically in Figure 1.1.

The shape of stress–strain curve for reinforced concrete member, among other variables, is a function of spacing and diameter of the transverse reinforcement.

Transverse reinforcement in a rectangular column acts merely as ties between the vertical bars and bow outward rather than effectively confining the concrete between the vertical bars. The larger the diameter of the tie, greater is its bending stiffness, resulting in better confinement. In the case of circular tie, this bending stiffness has no significance. This is because given its shape, the spiral will be in axial tension and will apply a uniform radial pressure to the concrete.

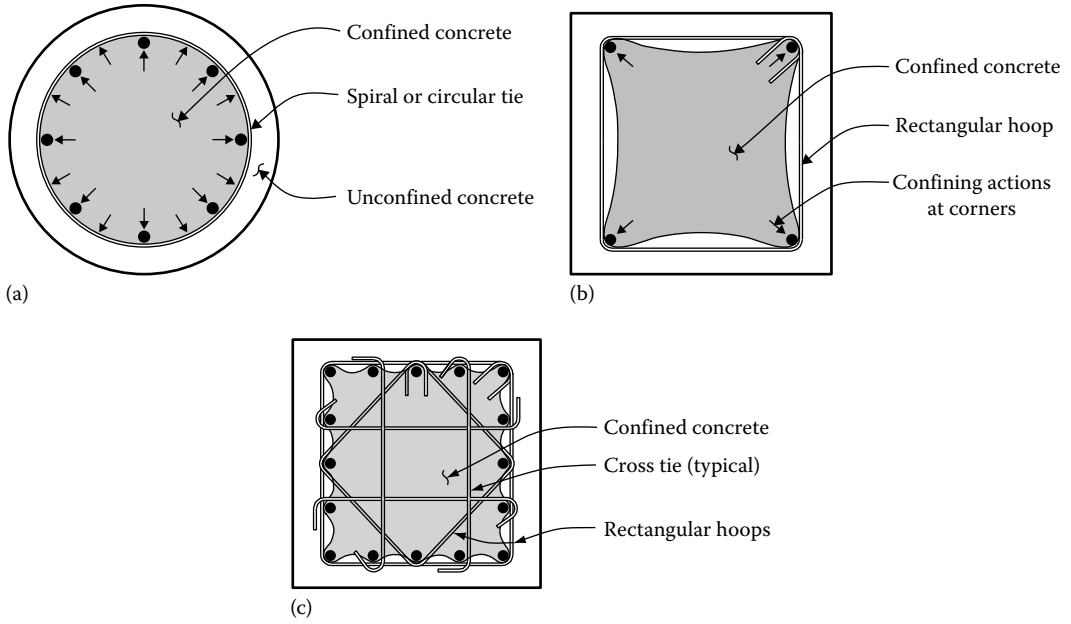


FIGURE 1.1 Confinement of column concrete by transverse reinforcement: (a) confinement by spiral or circular hoops, (b) confinement by a rectangular hoop, and (c) confinement by hoops and cross ties.

The bursting force in a circular column due to lateral expansion of concrete may be considered equivalent to a system of uniformly distributed radial forces acting along the circumference of the transverse tie. The radial forces produce a uniform enlargement of the tie resulting in a tensile force T_u . To determine T_u , let us imagine that the tie is cut at the horizontal diametral section (Figure 1.2) and consider the upper portion of the tie as a free body. If q denotes the uniform radial load per unit

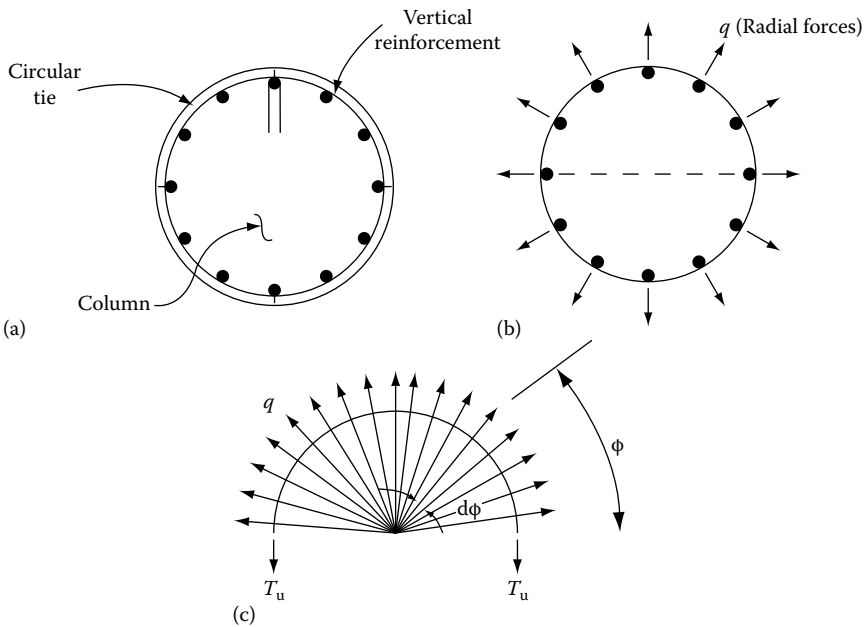


FIGURE 1.2 Confinement of circular column: (a) column with circular ties, (b) radial forces, and (c) free-body of upper portion of tie.

length of the ring, and r_c is the radius of the ring, the force acting on an element of the ring cut by two radial cross sections will be $q_c d\phi$, where $d\phi$ is the angle corresponding to the element. Taking the sum of the vertical components of all the forces acting on half the ring, the following equation of equilibrium will be obtained

$$2T_u = 2 \int_0^{\pi/2} q r_c \sin \phi d\phi$$

from which

$$T_u = q r_c$$

This tension, T_u , is often referred to as hoop tension.

In a spiral column, the lateral expansion of the concrete inside the spiral stresses the spiral in tension and this, in turn, causes a confining pressure on the core concrete, leading to an increase in the strength and ductility of the core. This is the reason why the ACI 318 in the seismic design Chapter 21, requires that beams, columns, and ends of shear walls have hoops in regions where the reinforcement is expected to yield in compression. Hoops are closely spaced closed ties or continuously wound ties or spirals, the ends of which have 135° hooks commonly referred to as seismic hooks, with 6 bar diameter (but no less than 3 in.) extensions (see Figure 1.3). The hoops must enclose

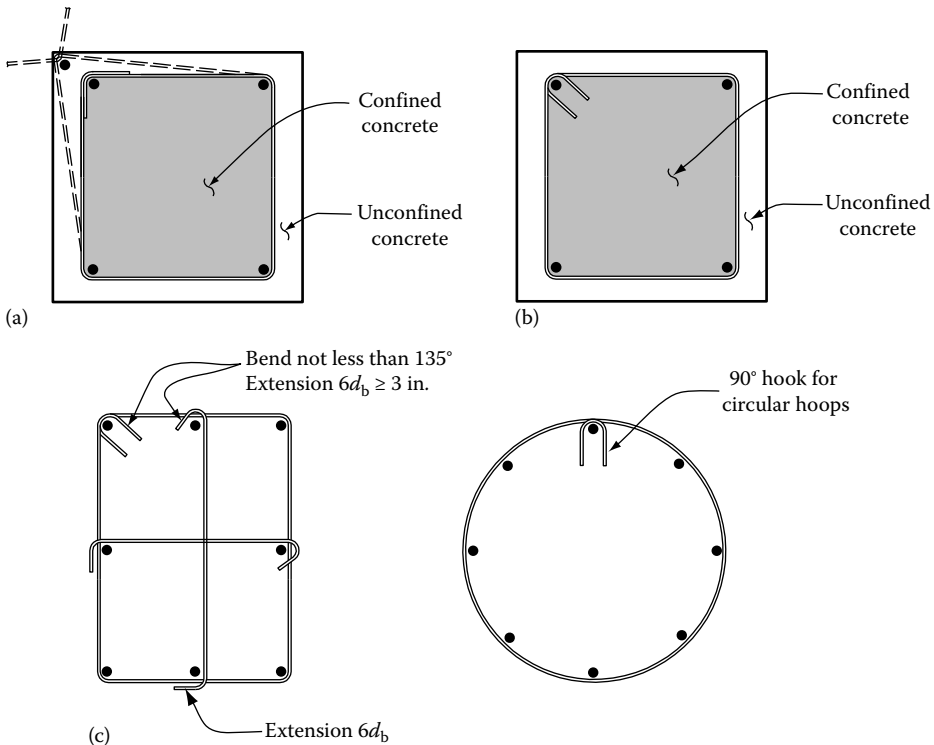


FIGURE 1.3 Column ties and seismic hooks: (a) overlapping 90° hooks at corners cannot confine a concrete core after concrete cover spalls, (b) 135° hooks, required in high seismic areas, provide the necessary confinement for the core while simultaneously resisting buckling of column vertical bars, and (c) seismic hook.

the longitudinal reinforcement and give lateral support to those bars in the manner required for column ties. Although hoops can be circular, they most often are rectangular since most beams and columns have rectangular cross sections. In addition to confining the core concrete, the hoops restrain the buckling of the longitudinal bars and act as shear reinforcement as well. Reinforced concrete frames with confining hoops that are in compliance with the ductile detailing requirements of the ACI 318-05/08 Chapter 21 can achieve deflection ductilities in excess of 5 and shear walls about 4, compared to 1 to 2 for conventionally reinforced nonductile concrete frames.

1.1.2 DUCTILITY

An excellent example for discussing “ductility” of reinforced concrete members is a frame-beam shown in Figure 1.4. The term “frame-beam” applies to a beam that is designed as part of a lateral system. Otherwise it is simply referred to as a gravity beam.

When subjected to seismic ground motions, the frame sways back and forth resulting in flexural and shear cracks in the beam. These cracks close and open alternately due to load reversal and following several cycles of loading, the beam will resemble Figure 1.4. As a result of the back and forth lateral deflections, the two ends of the beam are divided into a series of blocks of concrete held together by the reinforced cage.

If the beam cracks through, shear is transferred across the crack by the dowel action of the longitudinal reinforcement and shear friction along the crack. After the concrete outside the reinforcement crushes, the longitudinal bar will buckle unless restrained by closely spaced stirrups or hoops. The hoop also provides confinement of the core concrete increasing its ductility.

Ductility is the general term that describes the ability of the structure or its components to provide resistance in the inelastic domain of response. It includes the ability to sustain large deformations and a capacity to absorb energy by hysteretic behavior, the characteristics that are vital to a building’s survival during and after a large earthquake. This capability of sustaining a high proportion of their strength that ensures survival of buildings when a major earthquake imposes large deformation is the single most important property sought by the designer of buildings located in regions of significant seismicity.

The limit to ductility, such as the displacement of Δ_u , typically corresponds to a specified limit to strength degradation. Even after attaining this limit, sometimes termed “failure,” significant additional inelastic deformations may still be possible without structural collapse. Brittle failure, on the other hand, implies near-complete loss of resistance, often complete disintegration without adequate warning. For these reasons, brittle failure, which is the overwhelming cause for collapse of buildings in earthquakes, and the consequent loss of lives, must be avoided.

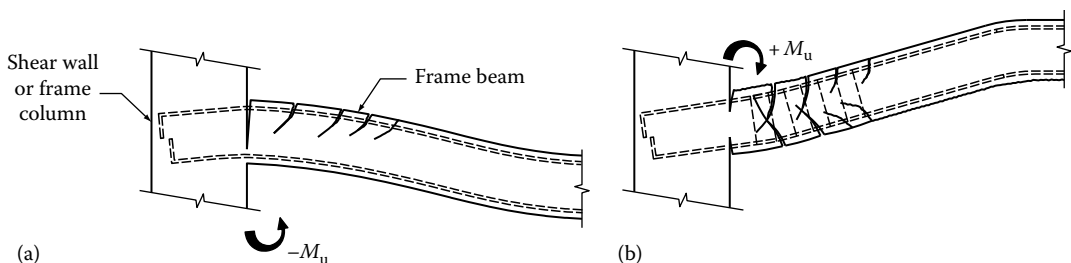


FIGURE 1.4 Frame-beam subjected to cyclic loads: (a) cracks due to $-M_u$ and (b) cracks due to $+M_u$.

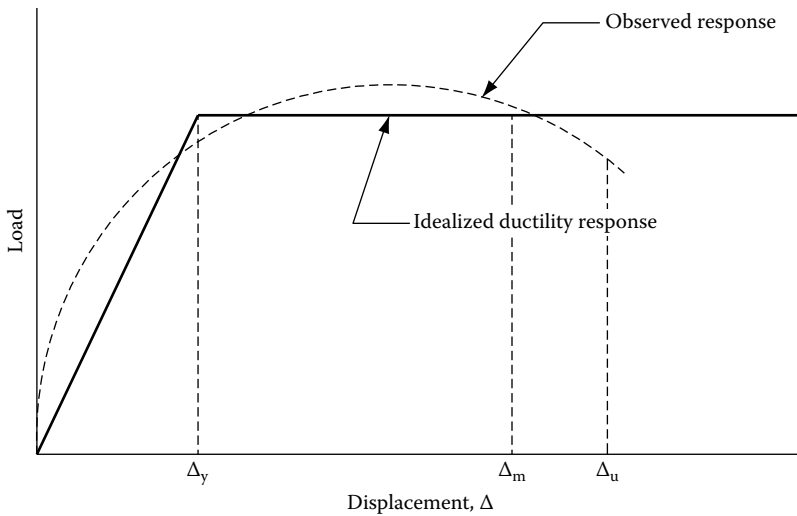


FIGURE 1.5 Ductility model. The ability of the structure to provide resistance in the inelastic domain of response is termed “ductility.” Δ_u is the limit to ductility corresponding to a specified limit of strength degradation.

Ductility is defined by the ratio of the total imposed displacements Δ at any instant to that at the onset of yield Δ_y . From Figure 1.5, we have

$$\mu = \Delta / \Delta_y > 1 \quad (1.1)$$

Ductility may also be defined in terms of strain, curvature, rotation, or deflection. An important consideration in the determination of the required seismic resistance will be that the estimated maximum ductility demand during shaking, $\mu_m = \Delta_m / \Delta_y$ does not exceed the ductility potential μ_u .

In structural engineering, the roles of both stiffness and strength of members, as well as their quantification is well understood. However, quantification and utilization of the concept of ductility as a design tool are generally less well understood. For this reason, many aspects of ductile structural response and its application in seismic design are examined in considerable detail in subsequent chapters of this book.

Ductility in structural members can be developed only if the constituent material itself is ductile. Concrete is an inherently brittle material. Although its tensile strength cannot be relied upon as a primary source of resistance, it is eminently suited to carry compression stresses. However, the maximum strains developed in compression are rather limited to about 0.003, unless special precautions are taken. Therefore, the primary aim of seismic detailing of concrete structures is to combine mild steel reinforcement and concrete in such way as to produce ductile members that are capable of meeting the inelastic deformation demands imposed by severe earthquakes.

1.1.3 HYSTERESIS

When the structure is able to respond inelastically without significant strength degradation to a design-level earthquake, typically defined as an event with a recurrence interval of once in 2500 years, it is said to possess ductility. Observe that ductility must be provided for the full duration of the earthquake, possibly implying many inelastic excursions in each direction.

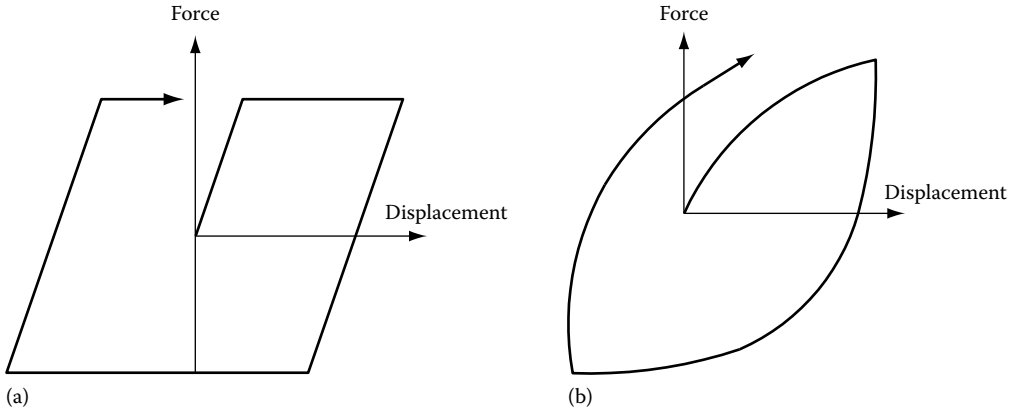


FIGURE 1.6 Hysteresis loops. (a) Idealized elastoplastic loop. (b) Well-detailed beam plastic hinge loop.

Perfect ductility is defined by the ideal elastic/perfectly plastic (often also called elastoplastic) model shown in Figure 1.6, which describes typical response in terms of force versus displacement at the center of mass. Diagrams of this form are termed “hysteresis loops.”

The structural response indicated in Figure 1.6a is a structural ideal, seldom if ever achieved in the real world. Hysteresis loops more typical of reinforced concrete are shown in Figure 1.6b. In reinforced concrete frames, it is desirable to concentrate the inelastic deformation in plastic hinges occurring in the beams, adjacent to column faces. Under ideal conditions, hysteresis loops of the form shown in Figure 1.6b typically provide energy absorption of perhaps 70%–80% of the absorption of an equivalent elastoplastic loop. When energy is dissipated in plastic hinges located in columns, the loops diverge further from the ideal elastoplastic shape indicating less energy absorption.

Properly detailed reinforced concrete structural elements exhibit dependable ductile behavior although with hysteresis loops different from the elastoplastic loops. However, all the loops represent essentially ductile behavior, in that they do not indicate excessive strength degradation with increasing displacement or with successive cycling to the same deflection. It should be noted that the area inside the loop is a measure of the energy that can be dissipated by the plastic hinge.

The fullness of a hysteresis loop has always been considered as a positive attribute. How full must it be to have the desired control over building response? At this time (2009), explicit answers are nonexistent, but it is believed that considerable improvement in building response will result, provided that reasonable levels of both strength and energy dissipation are provided.

1.1.4 REDUNDANCY

Especially for buildings in seismic design category (SDC) C and above, it is important for the lateral load system to possess some degree of redundancy.

The SDCs discussed at length in Chapter 5, establish among other requirements, the energy-absorbing capacity of various structural systems. Six SDCs (A through F) are assigned to buildings in ASCE 7-05, A being the least and F the most severe.

Redundancy in a structure means that there is more than one path of resistance for lateral forces. As an example, redundancy can be achieved by having a moment-resistant frame with many columns and beams, all with ductile connections or by having a dual system, such as shear walls plus a moment-resistant frame.

1.1.5 DETAILING

Detailing incorporates a design process by which the designer ensures that each part of the structure can perform safely under service load conditions and also when specially selected critical regions

are to accommodate large inelastic deformations. Thus detailing based on an understanding of a feeling for structural behavior, with due regard to the limitations of construction practices, is what makes structural design both a science and an art.

1.2 BEHAVIOR OF REINFORCED CONCRETE ELEMENTS

In the previous section, we discussed certain aspects of seismic design such as confinement, ductility, and hysteresis behavior. However, it should be remembered that a great majority of buildings built in the United States are assigned to lower SDC, that is, A or B, that do not require as much detailing for ductility. The design provisions given in Chapters 1 through 20 and 22 of ACI 318-05/08 are deemed adequate for the design of such buildings. With this in mind, we will review in general terms, the basic behavior of reinforced concrete elements subjected to external loadings such as seismic load.

- Tension (discussed in Section 1.2.1)
- Compression (discussed in Section 1.2.2)
- Bending (discussed in Section 1.2.3)
- Shear (discussed in Section 1.2.4)
- Sliding shear (shear friction) (discussed in Section 1.2.5)
- Punching shear (discussed in Section 1.2.6)
- Torsion (discussed in Section 1.2.7)

1.2.1 TENSION

Tension forces stretch members. Concrete has no reliable tension strength. It is essentially cast rock that is strong in compression but weak in tension and shear. Mild steel reinforcement cast into concrete provides for the longitudinal tension while the enclosing ties and stirrups provide for confinement and shear resistance. Sufficient reinforcement can be added to provide adequate toughness for seismic resistance, enabling reinforced concrete to exhibit ductile properties.

Direct tension in reinforced concrete members is not as rare as one may think. Consider, for example, the transfer system shown in Figure 1.7 proposed for a high density resort and casino development in Las Vegas, Nevada. The system is intended for transferring gravity loads from typical interior columns of a multistory residential tower. The tower uses a typical posttensioned flat plate construction with columns spaced at 30ft centers in the longitudinal direction. Extending the tower interior columns all the way to the foundation would have disrupted a considerable amount of convention, meeting, retail, and casino space in the podium. The solution was to create a reinforced concrete transfer truss as shown in Figures 1.8 through 1.10 with three options for columns below the transfer girder.

Another example of reinforced concrete members subjected to direct tension is a deep beam analyzed using the strut-and-tie model (see Figure 1.11). Note that the mild steel reinforcement for the tie is calculated using a strength reduction factor $\phi = 0.75$, as opposed to $\phi = 0.9$ typically used for tension-controlled design.

1.2.2 COMPRESSION

Compression forces push on members and can lead to crushing of materials when the members are short and relatively fat. At bearing surfaces between concrete beams and columns, crushing can also occur. The crushing failures tend to give warning in form of local splitting of concrete and other visible changes. When long, slender members are loaded in compression, they can fail suddenly by buckling or bowing. This type of sudden failure is to be avoided.

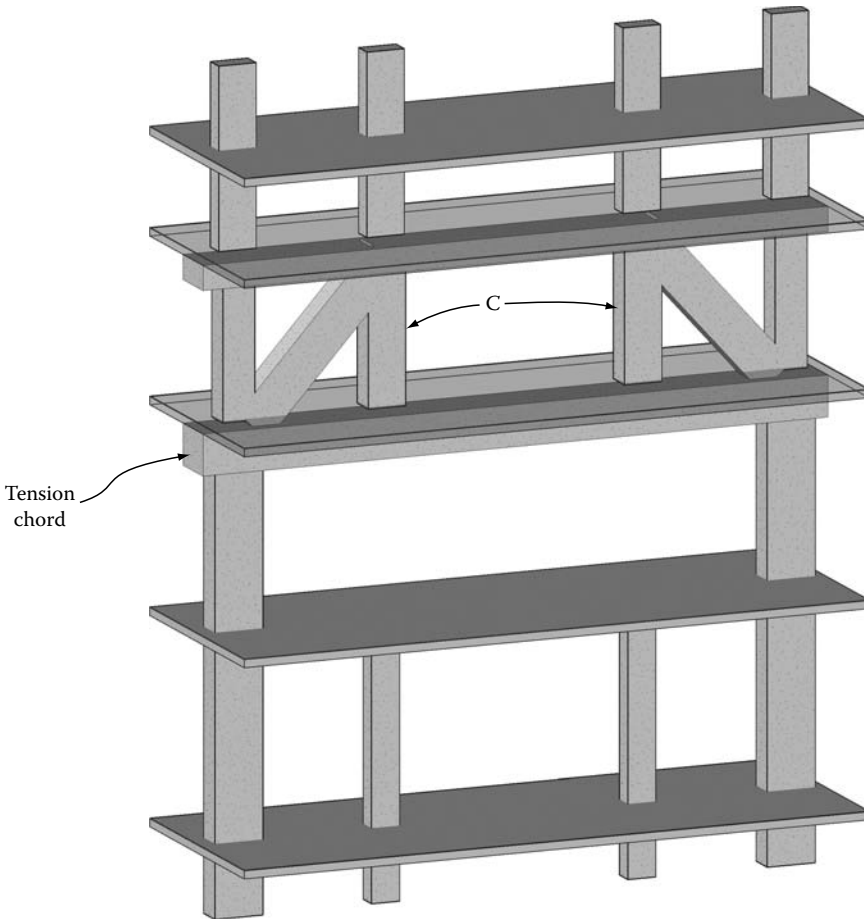


FIGURE 1.7 Tension member in a transfer truss. *Note:* Column C not required for truss action but recommended.

1.2.3 BENDING

Bending forces occur mostly as a result of vertical loads applied to floor slabs and beams. Bending causes the bottoms of simple beams to become stretched in tension and the tops of beams to be pushed together in compression. Continuous beams and cantilever beams have tension forces at the top and a compression at the bottom near their supports. At midspan, the forces are in the same locations as for simple beams and slabs. Vertical cracks develop near the midspan of concrete, since the tension force causes the concrete to crack (see Figure 1.12). The reinforcing steel provided in the tension zones is assumed to resist the entire tension force. This tension cracking can be observed in damaged structures and may be used to monitor and determine the potential for collapse. Stable, hairline cracks are normal, but widening cracks indicate impending failure. As stated previously, beams in reinforced concrete moment-resistant frames may experience tension and compression stresses alternately due to stress reversals during earthquakes.

1.2.3.1 Thumb Rules for Beam Design

Thumb rules have been in existence ever since humans started building structures. With passage of time, the rules have been refined based on construction experience. One thumb rule used quite extensively by engineers at present for determining beam depths in concrete buildings is the following: For every foot of beam span, use $\frac{3}{4}$ in. as the depth. Thus, a 30 ft span beam would have a depth of $0.75 \times 30 = 22.5$, say 22 in., and a 40 ft span, a depth of $0.75 \times 40 = 30.0$ in.

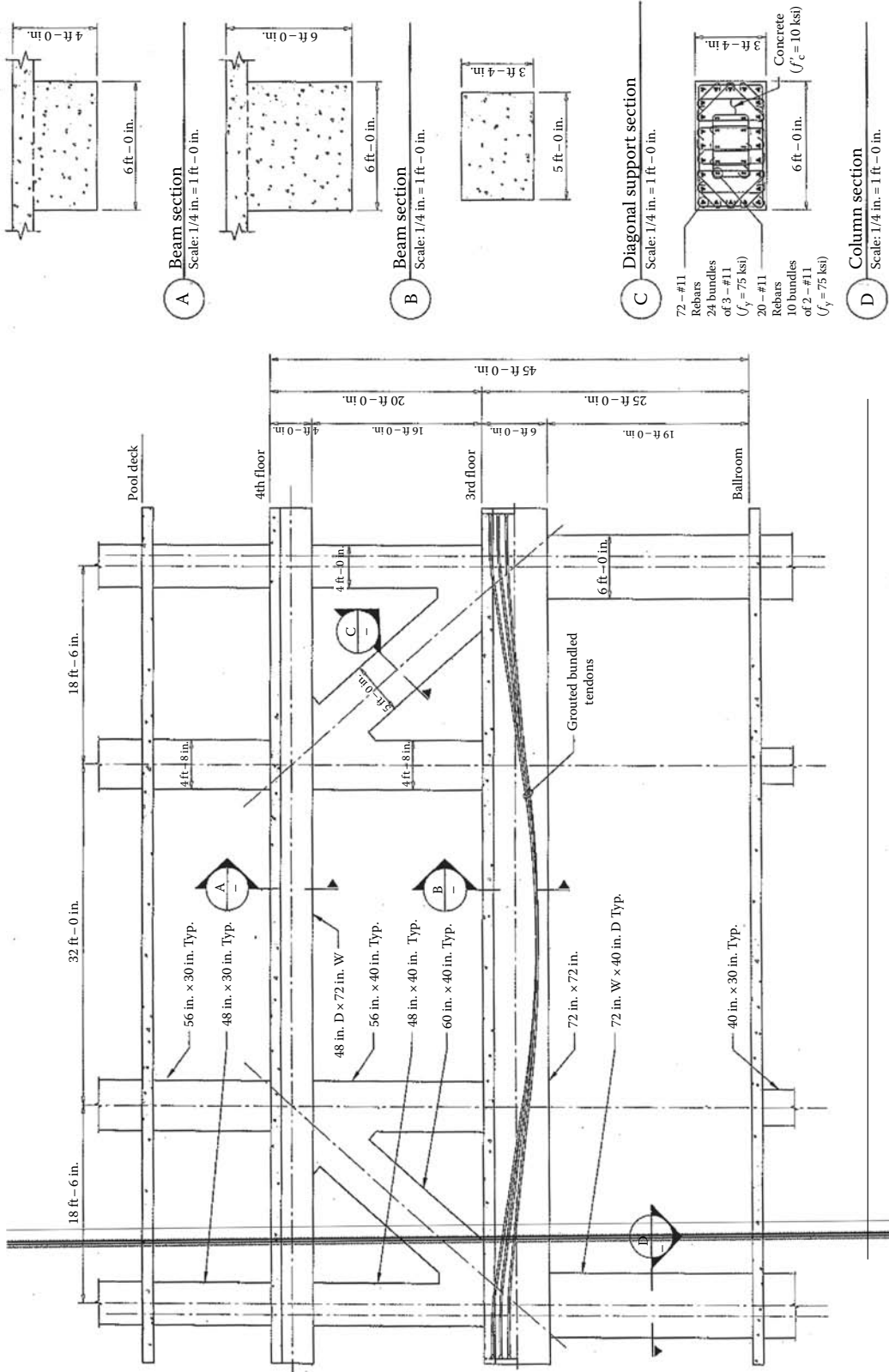


FIGURE 1.8 Transfer girder: schematic elevation with concrete column.

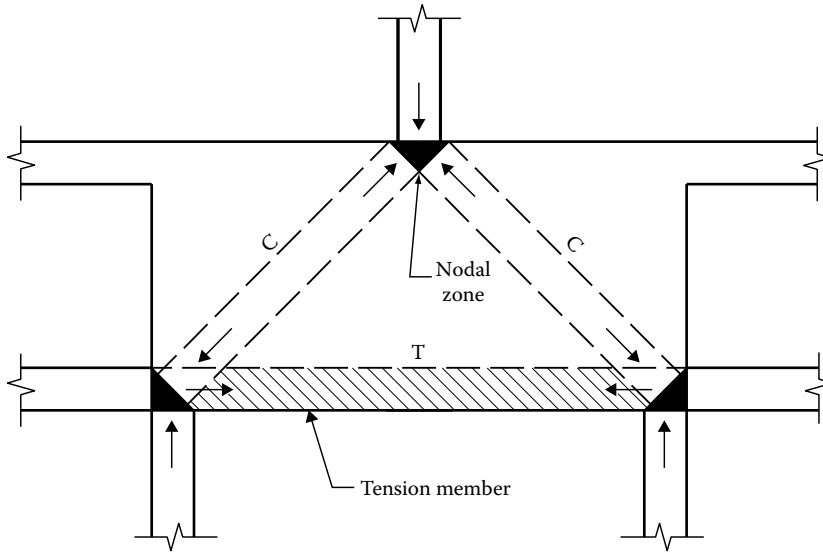


FIGURE 1.11 Tension member in a strut-and-tie model. *Note:* Capacity reduction factor, $\phi = 0.75$.

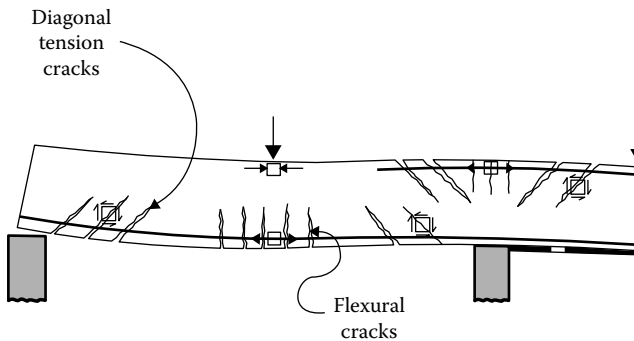


FIGURE 1.12 Development of cracks in a flexural member. Vertical cracks may develop near midspan, stable, hairline cracks are normal, but widening cracks indicate impending failure.

There also exists another easy to remember rule for determining the area of flexural reinforcement in beams:

$$A_s = \frac{M_u}{4d} \quad (1.2)$$

where

M_u is the ultimate design moment (kip-ft)

A_s is the area of flexural reinforcement (in.²)

d is the effective depth of flexural reinforcement from the compression face (in.)

This thumb rule works quite well for members with reinforcement ratios of 1%–1.5%. Noting that minimum flexural reinforcement is about ¼% with a maximum at around 2%–2.5%, beam designs using the thumb rule given in Equation 1.2 generally turn out to be quite economical.

Example 1.1**Given**

Span = 36 ft

 $M_u = 500$ kip-ft**Required**

Area of flexural reinforcement

SolutionBeam depth = $0.75 \times 36 = 27$ in.Depth, $d = 27 - 2.5 = 24.5$ in.

$$A_s = \frac{500}{4 \times 24.5} = 5.1 \text{ in.}^2$$

To demonstrate the accuracy of this quick method, a comparison is shown in Table 1.1. It is seen that the approximate method is applicable for a wide range of M_u values.

For purposes of resisting gravity loads, horizontal concrete systems such as beams and slabs can also be reinforced by adding high-strength cables or tendons that are pretensioned prior to their being loaded by the structure's weight and superimposed loads. One type commonly referred as precast, prestressed systems may be manufactured in a factory using cables that are stretched in a form, and then bonded to the concrete when it is cast. Another method is to place cables that are enclosed in plastic sleeves in the forms at a job site, cast the concrete, and then stretch and anchor the cables after the concrete has cured and achieved sufficient strength. Using this method, two types of construction are possible. In the first type, the cables are left unbonded to the concrete, but only anchored at the edges of the structures. In the second type, the entire length of tendons is bonded to the concrete. These two methods are commonly referred to unbonded and bonded systems, respectively.

Concrete shrinks, cracks, and creeps under normal circumstances, and this behavior needs to be differentiated from the cracking and spalling that indicates failure. As stated previously, properly reinforced concrete can provide seismically resistant construction if the reinforcing is proportioned such that the configuring ties, hoops, and stirrups are sufficient to resist the shear that can be generated by the overall structural configuration.

As compared to flexural design of beams, rational analysis of two-way slab has always lagged behind design and construction practices. In fact, more than 100 flat slab buildings had already been built when the first rational analysis was published in 1914.

TABLE 1.1
Comparison of Flexural Reinforcement

M_u (kip-ft)	Effective Depth, d (in.)	A_s (in. ²)	
		Quick Method	Computer Method
500	25.5	4.9	5.08
650	28.5	5.7	5.53
800	30.5	6.56	6.35
1000	35	7.14	7.0
1200	39.5	7.59	7.62
2000	46	10.87	10.16

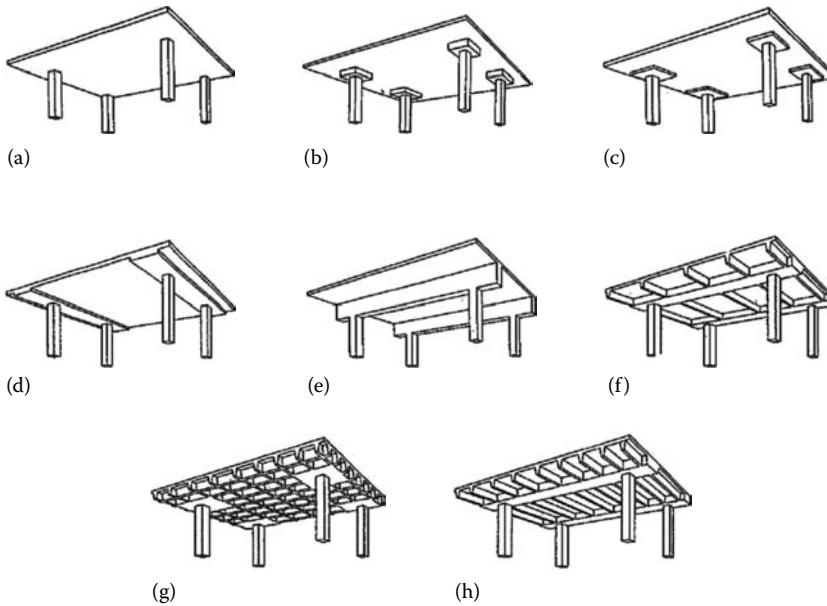


FIGURE 1.13 Two-way slab systems: (a) flat plate, (b) flat plate with column capitals, (c) flat plate with drop panels, (d) band beams, (e) one-way beam and slab, (f) skip joist system, (g) waffle slab, and (h) standard joist system.

The major types of two-way slabs are shown in Figure 1.13. The choice of slab type depends largely on the ease of formwork, superimposed loads, and span. The flat plate system is suitable for lighter loads and moderate spans, the characteristics that make it a popular system for residential constructions such as apartments and hotels. Slabs with beams or drop panels are more suitable for office and institutional buildings with heavier loads.

1.2.4 SHEAR

We begin with a discussion of horizontal shear stresses that occur in a beam as a result of vertical shear stresses.

To explain this concept, let *abcd* (Figure 1.14) represent an infinitely small prismatical element of unit thickness cut out of a beam subjected to shear stress. If there is a shear stress, V_{uv} , acting on

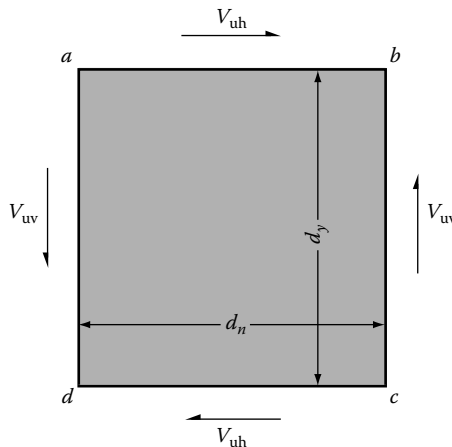


FIGURE 1.14 Unit shearing stresses acting at right angles to each other.

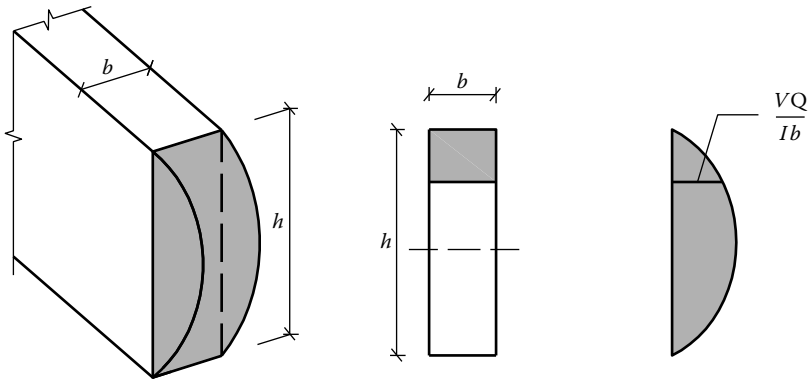


FIGURE 1.15 Shear stress distribution in a rectangular beam.

the right-hand face, the shear force acting on this face is $V_{uv} \times d_y \times 1$ and there must be an equal and opposite force on the left-hand face in order that the sum of the y -component be zero for equilibrium. These two forces, however, constitute a couple and to prevent rotation, there must be another couple made up of horizontal shear forces $V_{uh} \times d_x \times 1$ acting on the top and bottom faces. These two couples must be numerically equal and must act in opposite directions. The moment arm of the first couple is d_x and that of the second couple is d_y . Thus, $V_{uv} \times d_y \times d_x = V_{uh} \times d_x \times d_y$, and accordingly

$$V_{uv} = V_{uh}$$

Thus, the unit shearing stresses acting at a point and lying in planes that are at right angles to each other are numerically equal.

Let us consider the simplest case, with a beam of rectangular cross section having width b and height h (Figures 1.14 through 1.16). We can reasonably assume that (1) the shear stress V_u acts parallel to vertical sides of the cross section and (2) the distribution of shear is uniform across the width of the beam. These two assumptions will enable us to determine completely the distribution of shear stresses acting on the cross section.

Consider a small element of the beam cut out between two planes that are parallel to the neutral surface, as shown in Figure 1.15. Considering the foregoing assumptions, the vertical shear stresses V_u are uniformly distributed on the vertical faces of the element. Recall that shear stresses acting one side of an element are accompanied by shear stresses of equal magnitude acting on perpendicular faces of the element (Figure 1.16).

Thus there will be horizontal shear stresses between horizontal layers of the beam as well as transverse shear stresses on the vertical cross sections. At any point within the beam, the vertical and the complementary horizontal shear stresses are equal in magnitude.

The foregoing discussion leads to the well-known shear stress formula,

$$V_u = v_u Q / I b \tag{1.3}$$

where

V_u is the total ultimate shear at a given section

v_u is the shear stress at the cross section

Q is the statical moment about neutral axis of that portion of cross section lying between a line through point in question parallel to neutral axis and nearest face (upper and lower) of beam

I is the moment of inertia of cross section about the neutral axis

b is the width of beam at given point

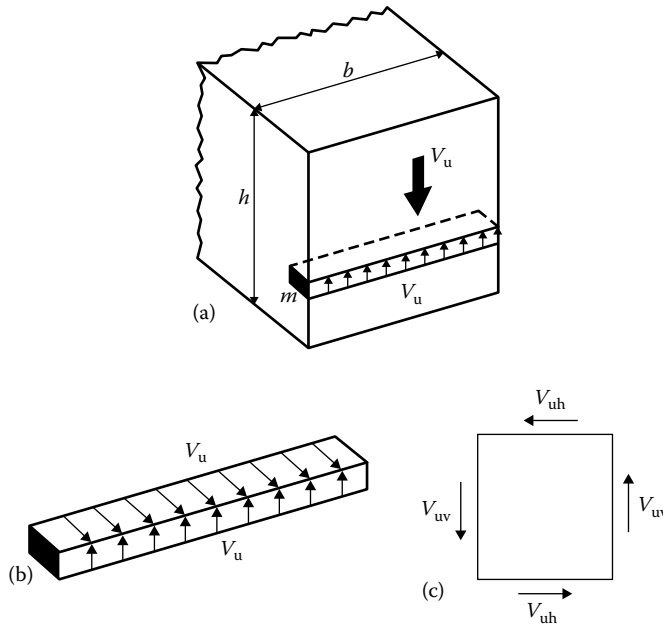


FIGURE 1.16 Complimentary shear stresses. (a) Rectangular beam subject to vertical shear force V_u , (b) beam element between two parallel sections, and (c) shear stresses on perpendicular faces of a beam element.

The intensity of shear along a vertical cross section in a rectangular beam varies as the ordinates of a parabola, the intensity being zero at the outer fibers of the beam and maximum at the neutral axis. The maximum is $3/2V/bd$, since at the neutral axis, $Q = bd^2/8$ and $I = bd^3/12$ (see Figures 1.14 through 1.16).

Shear forces occurring in beams are greatest adjacent to supports in gravity beams. Shear stress can be described as the tendency to tear apart the vertical surfaces of the beams. In concrete beams, these shear stresses do not produce significant vertical cracks, but develop diagonal tension cracks, since concrete is weak in tension.

The behavior of reinforced concrete members subjected to an external shear force is difficult to predict. This is because the shear stress formula (Equation 1.3) is applicable for homogeneous beams working in the elastic range. Reinforced concrete is neither homogeneous nor its behavior elastic. Therefore, it is important to realize that shear analysis and reinforcement design of reinforced concrete members is not really concerned with the determination of shear stresses as such. The real concern is with diagonal tension stress, resulting from the combination of shear stress and longitudinal bending stresses. Since the tension capacity of concrete is low, it is necessary to carefully consider the tension stress resulting from diagonal tension.

The action of vertical and horizontal shear stresses, combined with the flexural stresses, gives rise to a pair of inclined compressive stresses and a pair of inclined tension stresses, which are at right angles to each other. These stresses are known as principal stresses. Of particular concern in reinforced concrete design are the tension stresses that occur as a result of the principal stresses (see Figure 1.17). These stresses commonly referred to as diagonal tension stresses must be carefully considered in design by providing shear reinforcement, most frequently consisting of vertical stirrups. It is important, particularly in seismic design, to ensure that flexural failure would occur prior to shear failure should the member be overloaded.

Shown in Figure 1.18 are the resisting forces at diagonal crack in a beam that has been reinforced with vertical stirrups. Consider the part of the beam to the left of the diagonal crack subjected to an external shear force V_u . No tension force perpendicular to the crack can be transmitted across it

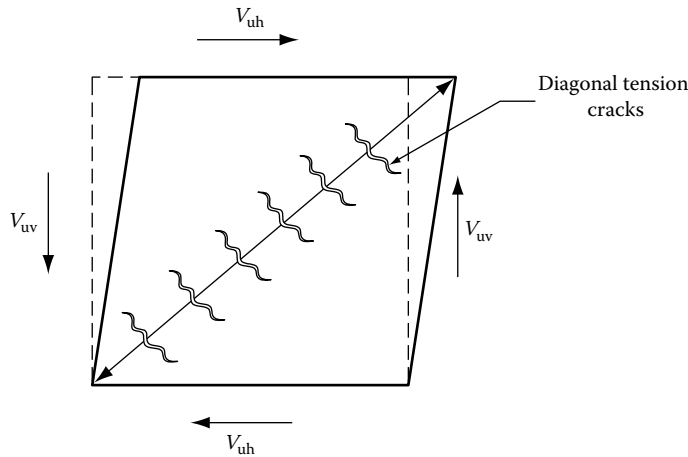


FIGURE 1.17 Diagonal tension.

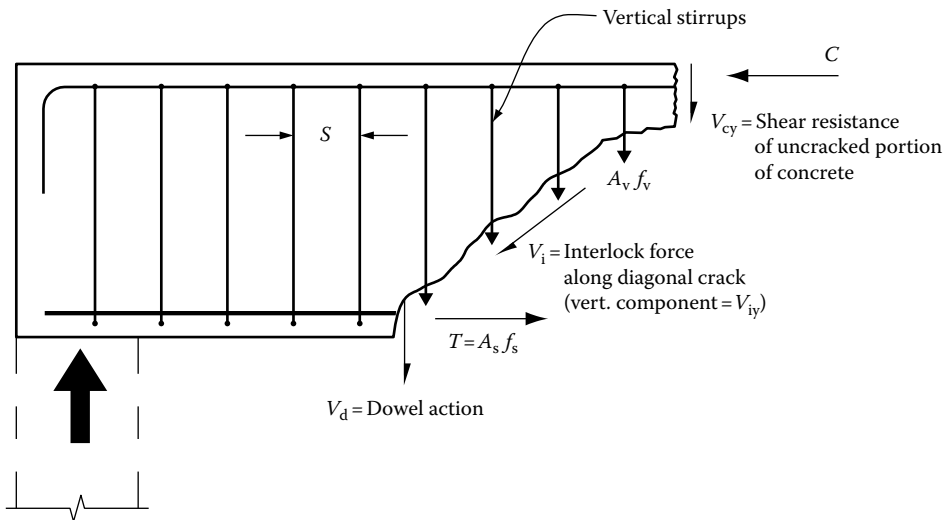


FIGURE 1.18 Shear-resisting forces along a diagonal crack: $V_{ext} = V_{cy} + V_{iy} + V_d + V_s$.

once the crack is formed. However, a sizable force, denoted as V_i in Figure 1.18, can still be transmitted along the crack through interlocking of the surface roughness. The vertical component of this force V_i , denoted as V_{iy} may be considered to provide part of resistance to external shear force V_u . Two other components of vertical resistance also manifest in resisting the shear force. These are the shear force in the uncracked portion of the concrete denoted by V_{cy} and the dowel action of the bottom reinforcement, V_d . The fourth and the final component of the internal resistance is the force $V_s = A_v f_v$ exerted by the stirrup traversing the crack.

Equilibrium of external shear force V_u and the internal resistance gives

$$V_u = V_{cy} + V_d + V_{iy} + V_s \tag{1.4}$$

Of the four components of internal shear resistance shown above, only the shear resistance provided by the stirrups is known with any certainty. The other three do not lend themselves for analytical

quantification. However, for design convenience, the contribution of the three resistances V_{cy} , V_d , and V_{iy} are conservatively lumped into a single term, V_c , somewhat loosely referred to as the shear strength contribution of the concrete to the total shear resistance.

Thus

$$V_c = V_{cy} + V_d + V_{iy} \quad (1.5)$$

The ACI 318-05/08 gives several equations for calculating the shear strength V_c , the most simple one being

$$V_c = 2\sqrt{f'_c} bd \quad (1.6)$$

1.2.5 SLIDING SHEAR (SHEAR FRICTION)

The preceding section dealt with shear in the context of flexural loading of beams in which shear is used merely as a convenient measure of diagonal tension. In contrast, there are circumstances such that direct shear may cause failure of reinforced concrete members. Potential failure planes can be postulated for such cases along which direct shear stresses are high, and failure to provide adequate reinforcement across such planes may produce unwelcome results.

The necessary reinforcement may be determined on the basis of the shear-friction method of design. The basic approach is to assume that slip occurs along a predetermined plane of weakness. Reinforcement must be provided crossing the potential plane to prevent direct shear failure.

The shear-friction theory is very simple. A shear resistance V_n acts parallel to the crack, and the resulting tendency for the upper block to slip relative to the lower is resisted largely by friction along the concrete interface at the crack. Since the crack surface is typically rough and irregular, the effective coefficient of friction is quite high. In addition, the irregular surface will cause the two blocks of concrete to separate slightly, as shown in Figure 1.19.

If reinforcement is present perpendicular to the crack, then slippage and subsequent separation of the concrete will stress the steel in tension. The resulting tensile force sets up an equal and opposite clamping pressure between the concrete faces on either side of the crack. The maximum value of this interface pressure is $A_{vf}f_y$, where A_{vf} is the total area of steel crossing the crack, and f_y is its yield strength, limited to 60,000 psi.

The relative movement of the concrete on opposite sides of the crack also subjects the individual reinforcing bars to shearing action, and the dowel resistance of the bars to this shearing action contributes to shear resistance. However, it is customary to neglect the dowel effect for simplicity in design, and to compensate for this by using a relatively high value of the friction coefficient.

The required area of shear reinforcement is computed using

$$A_{vf} = V_u / \phi f_y \mu \quad (1.7)$$

where

A_{vf} is the area of shear-friction reinforcement (in.²)

V_u is the factored shear force (lbs)

ϕ is the strength reduction factor equal to 0.75

f_y is the yield strength of reinforcement (psi)

μ is the coefficient of friction, equal to 0.6 for normal weight concrete placed against existing concrete not intentionally roughened

The nominal shear strength $V_n = V_u / \phi$ for normal weight monolithic concrete or concrete placed against intentionally roughened surface shall not exceed the smallest of

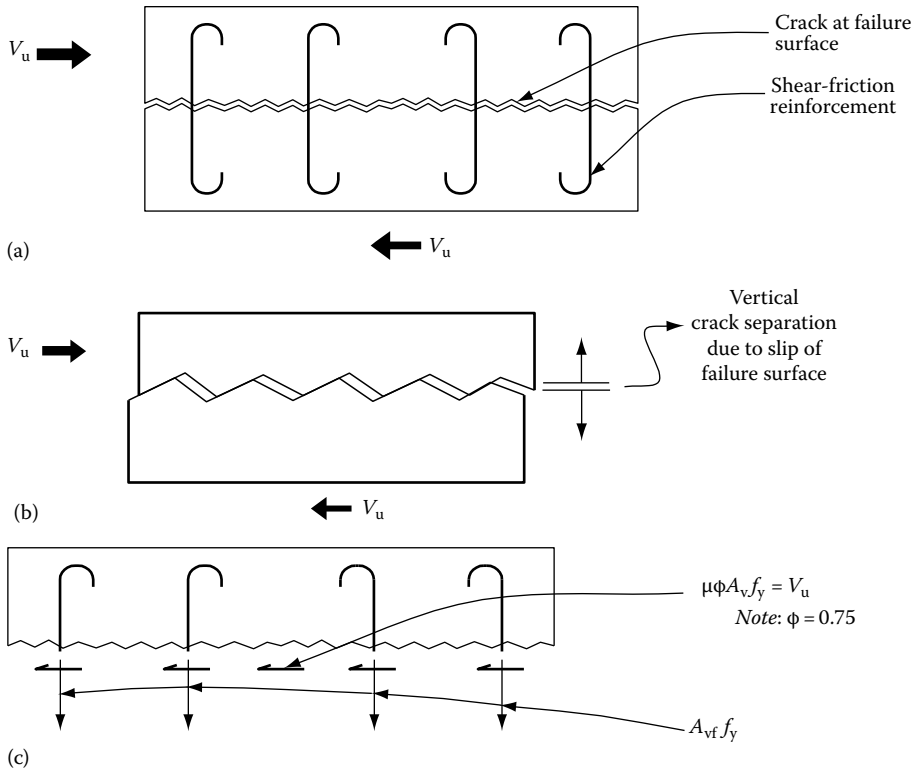


FIGURE 1.19 Frictional resistance generated by shear-friction reinforcement. (a) Applied shear, (b) enlarged crack surface, and (c) free body diagram of concrete above crack.

$$V_n = V_u / \phi \leq 0.2 f'_c A_c \tag{1.8}$$

$$\leq (480 \pm 0.88 f'_c) A_c \tag{1.9}$$

$$\leq 1800 A_c \tag{1.10}$$

For all other cases,

$$V_n = V_u / \phi \leq f'_c A_c \tag{1.11}$$

$$\leq 800 A_c \tag{1.12}$$

The effect of shear-friction reinforcement, A_{vf} , may be considered in a conceptual sense, similar to a gravity load that increases the sliding resistance along the shear plane. For example, a #9 bar of $A_{vf} = 1 \text{ in.}^2$, fully developed on either side of an unroughened sliding plane is conceptually equivalent to vertical load of 60 kip, resulting in a horizontal resistance of 27 kip (with a coefficient of friction equal to 0.45). If the surface is intentionally roughened of the #9 rebar increases to 45 kip, a pretty impressive resistance indeed in either of the two cases.

The yield strength of the reinforcement is not to exceed 60,000 psi. Direct tension across the shear plane, if present, is to be provided for by additional reinforcement, and permanent net compression

across the shear plane may be taken as additive to the force in the shear-friction reinforcement $A_{vf}f_y$ when calculating the required A_{vf} .

When shear is transferred between newly placed concrete against existing hardened concrete, the surface roughness is an important variable. For purposes of design, an intentionally roughened surface is defined as one having a full amplitude of approximately $\frac{1}{4}$ in.

Certain precautions should be observed in applying the shear-friction method of design. Reinforcement, of whatever type, should be well anchored to develop the yield strength of the steel, by the full development length or by hooks or bends, or by proper heads and welding.

The failure by sliding shear is a possibility in structures subjected to earthquakes. Construction joints across members, particularly when poorly prepared, present special hazards. Flexural cracks, interconnected during reversed cyclic loading, may also become potential sliding planes. Such possible locations shown in Figure 1.20 include

1. *Sliding shear in walls and diaphragms:* Shear transfer across potential sliding planes across walls and diaphragms, where construction joints occur or where wide flexural cracks originating from each of the two edges.
2. *Sliding shear in beams:* Sliding displacements along interconnected flexural and diagonal cracks in regions of plastic hinges can significantly reduce energy dissipation in beams. With reversed cyclic high-intensity shear load, eventually a sliding shear failure may develop.
3. *Sliding shear in columns:* For purposes of verifying sliding shear, particularly in potential plastic hinge regions, it is advisable to consider columns as beams. However, when the vertical reinforcement is evenly distributed around the periphery of the column section as is typical, more reliance may be placed on the dowel resistance against sliding of the vertical

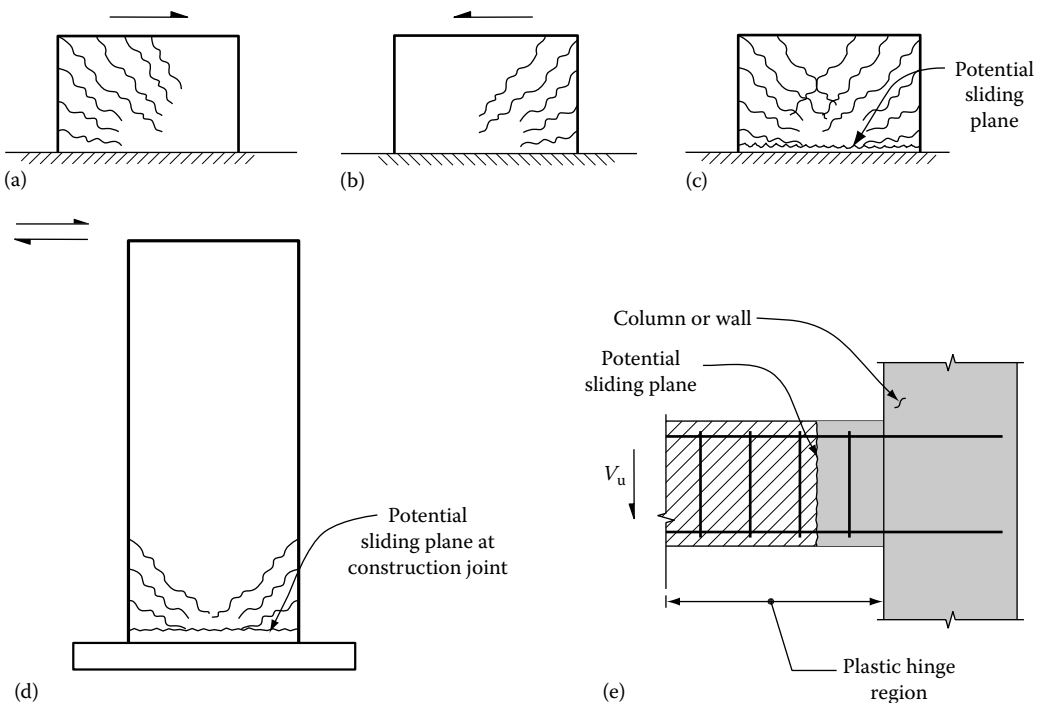


FIGURE 1.20 Potential locations of sliding shear. (a–c) Squat wall, (d) tall wall, and (e) plastic hinge region in a frame beam.

bars. Any axial compression on the column that may be present will greatly increase resistance against sliding shear. Therefore, no consideration need be given to sliding shear in columns constructed with a relatively even distribution of vertical reinforcement.

1.2.6 PUNCHING SHEAR

Punching shear occurs where a two-way concrete flat slab or plate is connected to a column without beams. It is the tendency of the slab to drop as a unit around the column, as shown schematically in Figure 1.21. The column appears to “punch” through the slab, hence the term “punching shear.” The cracking due to the overstress, which may lead to this type of collapse, is most visible on the top surface of the slab.

For expediency in design, the ACI 318 allows the punching shear check through a hypothetical stress distribution such as shown in Figure 1.22. In this design the column is visualized to punch through an assumed critical section. A set of hypothetical stresses over the critical section is computed to be in equilibrium with the associated applied moment and shear. The design procedure aims to keep the computed hypothetical stresses below stipulated, allowable values. These values are $6\sqrt{f'_c}$ and $4\sqrt{f'_c}$ for slabs with and without shear reinforcement, respectively. The computed values are not meant to represent true stresses, such as would be obtained from an elastic analysis. In reality, the cracking of concrete and the role of reinforcement over the support dictate a different load path, similar to the simplified strut-and-tie model illustrated in Figure 1.23, in which the top reinforcement becomes central for the load-carrying ability of the joint. The safety of the joint is tied with the tension capacity of reinforcement over the columns. The dearth of reports on punching shear failure for structures built over the last several decades suggests that the minimum top reinforcement requirement over the columns included in the design for flexure in the ACI 318 05/08 is adequate to supplement the punching shear demand. Therefore, although not codified at this time (2009), it appears that wherever an irregularity at a column/slab joint prevents direct application of the hypothetical stress computation formula, the solution to a safe design is best sought by providing an adequate strut-and-tie model. Refined elastic formulations aimed at revealing maximum stresses in the irregular geometry may not be warranted.

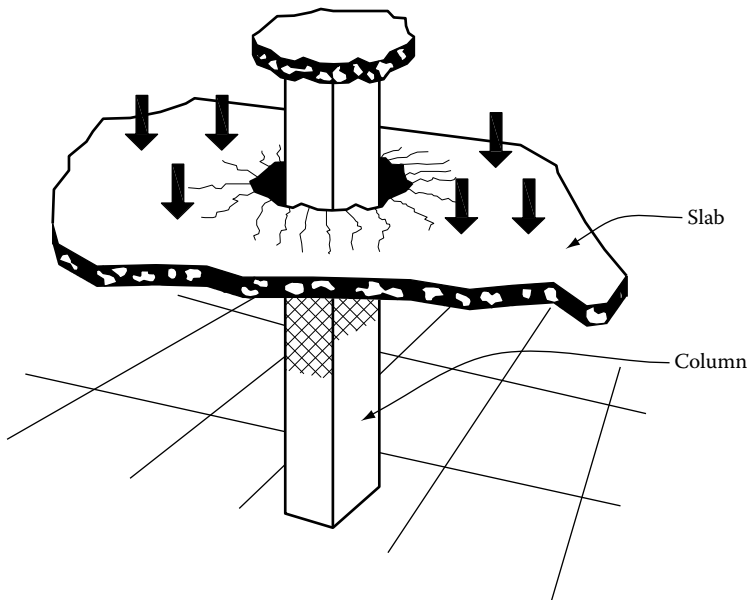


FIGURE 1.21 Punching shear failure in a two-way slab system. It is the tendency of the slab to drop as a unit around the column.

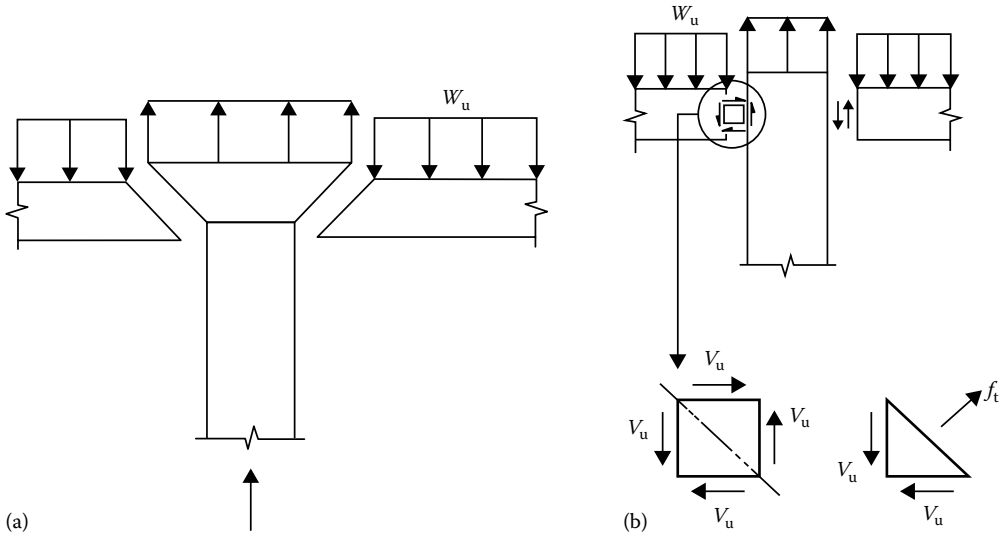


FIGURE 1.22 Transfer of slab load into columns.

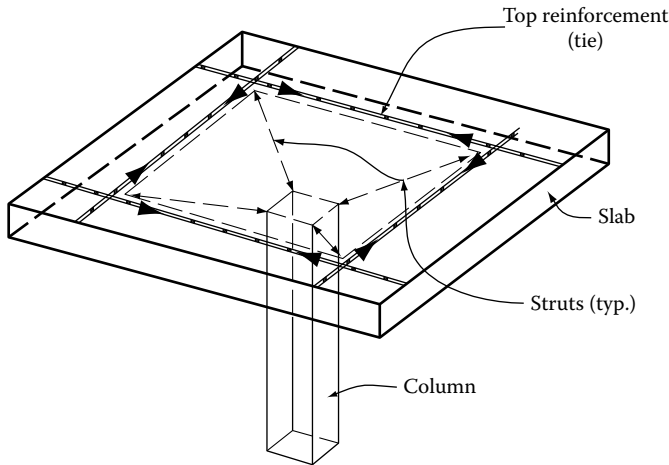


FIGURE 1.23 Strut-and-tie model for punching shear.

1.2.7 TORSION

1.2.7.1 Elemental Torsion

The distribution of gravity loads produces bending and shear forces in just about all the resisting elements. The load path travels from the slab—to floor beams—to girders and finally to the vertical support system. Because of the monolithic nature of reinforced concrete construction, rotational forces including torsion are also transmitted along the load path. Shown in Figure 1.24 is an example of a perimeter spandrel beam subject to torsion.

In designing reinforced concrete members for torsion, the loadings can be separated in two categories.

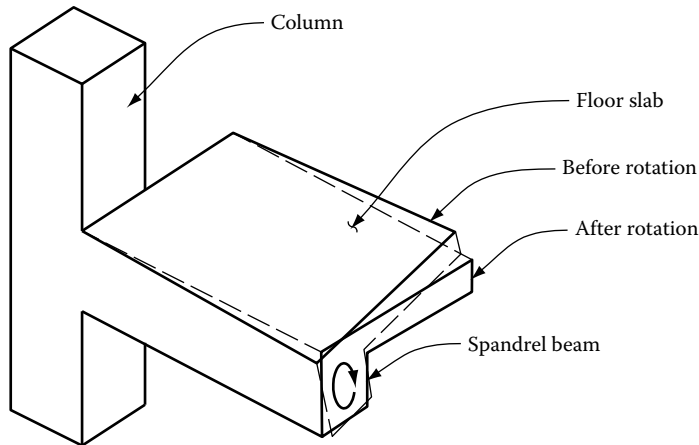


FIGURE 1.24 Torsion in spandrel beams.

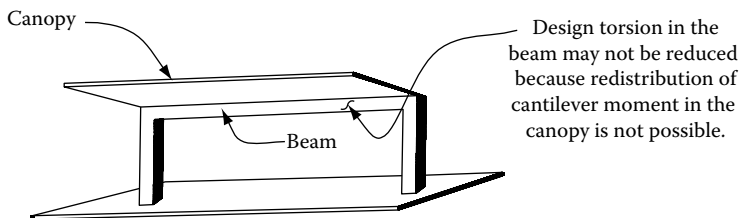


FIGURE 1.25 Equilibrium torsion.

1. Equilibrium torsion
2. Compatibility torsion

When design is for the equilibrium torsion, the entire calculated torsion needs to be accounted for in the analysis.

However, where the torsional moment results from the compatibility of deformations between members meeting at a joint, the designer is permitted to reduce the calculated torsion. This is based on the well-known concept of redistribution of moments in reinforced concrete members (see Figures 1.25 and 1.26).

1.2.7.1.1 ACI Design Method for Torsion

The design procedure for combined moment, shear, and torsion involves designing for the moment first, ignoring torsion and shear, and then calculating the stirrups and longitudinal reinforcement to provide the required resistance to the calculated vertical and torsional shear. Conceptual design steps are as follows:

1. For a given set of $+M_u$, $-M_u$, and V_u , determine the flexural reinforcement $+A_s$ and $-A_s$ and the shear reinforcement, A_v .
2. Determine if torsion should be considered in the analysis by comparing the calculated torsion, T_u to the threshold torsion value, given by

$$T_o = \phi 4 \lambda \sqrt{f'_c} (A_{cp}^2 / P_{cp}) \quad (1.13)$$

If $T_u > T_o$, torsion cannot be ignored.

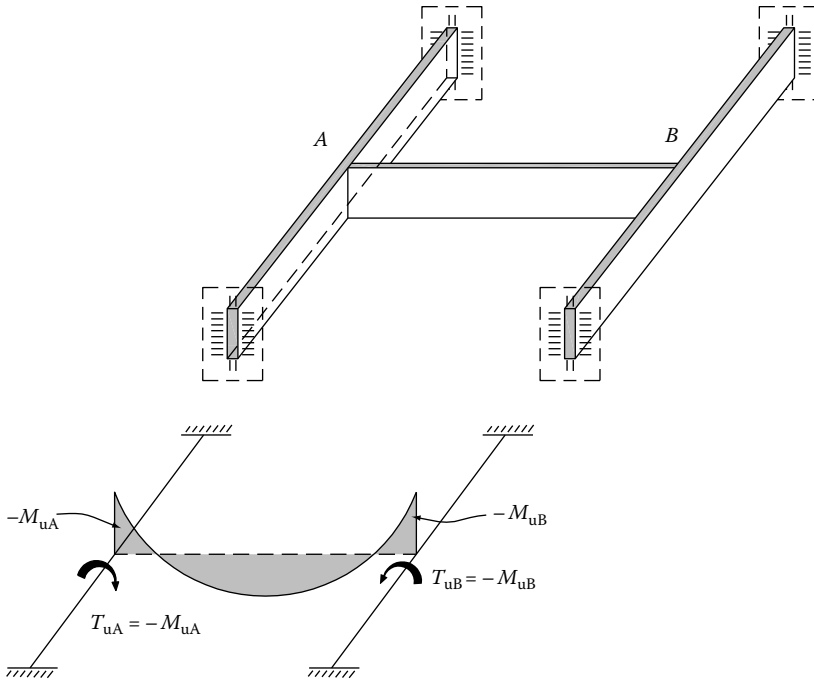


FIGURE 1.26 Compatibility torsion.

- From an inspection of the given problem, determine if the design torsion, T_u , is due to equilibrium torsion or due to compatibility torsion. If it is due to equilibrium, design of member for the entire calculated torsion. If T_u is due to compatibility requirements, as in statically indeterminate structures, it is permissible to reduce T_u to a maximum value, T_o given by

$$T_u (\text{max}) = \phi 4 \sqrt{f'_c} (A_{cp}^2 / P_{cp}) = T_o \tag{1.14}$$

- Determine the area of stirrups, A_t , required for resisting torsion T_u by the equation:

$$A_t = \frac{T_n S}{2A_o f_y \cot \theta} \tag{1.15}$$

where

A_t is the area of one leg of a close stirrup resisting torsion within a spacing of S in.²

A_o is the area enclosed by centerline of the outermost closed transverse torsional reinforcement, in.²

θ is the 45° for nonprestressed beams

f_y is the specified yield strength of reinforcement, psi

T_n nominal torsion moment strength, in.-lb

- Add the stirrup requirements for torsion and shear using the equation:

$$\text{Total} \left(\frac{A_{v+t}}{S} \right) = \frac{A_v}{S} + \frac{2A_t}{S} \tag{1.16}$$

Note that even when the shear stirrup, A_v , has multiple legs, only the stirrups adjacent to the beam sides are permitted to be included in the summation. This is because inner legs would be ineffective in resisting torsional moments.

6. Check minimum stirrups:

$$(A_v + 2A_t) = 0.75\sqrt{f'_c} \left(\frac{b_w S}{f_{yt}} \right) \quad \text{and} \quad \frac{50b_w S}{f_{yt}} \quad (1.17)$$

7. Determine the additional area of longitudinal reinforcement to resist torsion, A_t . Use ACI 318-05, Equation 11.24.

Refer to ACI 318-05/08, Chapter 11 for a complete description of various terms used in the equations above.

1.2.7.2 Overall Building Torsion

To avoid excessive lateral displacements torsional effects should be minimized by reducing the distance between the center of mass (CM), where horizontal seismic floor forces are applied, and the center of rigidity (CR) of the vertical elements resisting the lateral loads. A conceptual explanation of CM and CR follows.

1.2.7.2.1 Center of Mass

During earthquakes, acceleration-induced inertia forces will be generated at each floor level, where the mass of an entire story may be assumed to be concentrated. Hence the location of seismic force at a particular level will be determined by the center of the accelerated mass at that level. In regular buildings, the positions of the centers of floor masses will differ very little from level to level. However, irregular mass distribution over the height of a building may result in variations in centers of masses, which will need to be evaluated.

1.2.7.2.2 Center of Rigidity

This point, defined as the center of rigidity or center of stiffness, locates the position of a story shear force V_j , which will cause only relative floor translations and no torsion. Displacements due to story twist, when combined with those resulting from floor translations, can result in total interstory displacements that may be difficult to accommodate. For this reason, the distance between the CR and the CM should be minimized, but may not be possible due to building geometry. Invariably, effects of torsion are present in all buildings although analysis may show that in some buildings torsional effects are negligible. This is because torsion occurs as a result of variations in material properties, section geometry, and also due to the effects of torsional component of ground motion. Thus, torsion arises also in theoretically perfectly symmetrical buildings. Hence the seismic requirement that allowance be made in all buildings for so-called accidental torsion. For nonflexible diaphragm buildings, the ASCE 7-05/08 requires that in addition to the calculated torsion, an accidental torsion caused by an assumed displacement of center of mass by a distance equal to 5% of the dimension of the building perpendicular to the direction of force be included. However, the specified accidental torsion need not be applied simultaneously in two directions.

Regarding the simultaneous application of loads in two mutually perpendicular directions, it is worth noting that for buildings in SDC B, the earthquake loads are assumed to act independently along the two orthogonal axes of the buildings. For SDC C buildings having nonparallel lateral load-resisting systems, and for all buildings in SDC D and higher, 100% of the forces for one direction are added to 30% of the forces in the perpendicular direction, the directions chosen to give the worst effect for the member being designed.

If a building is subject to twist, as all are, the torsional stiffness of the core, in a “core-only” structure can be a significant part of the total torsional resistance of the building. The torsional behavior of cores is a topic that is relatively unfamiliar to many engineers. The proportions of the height, length, and thickness of the core walls of a typical building obligate us to analytically treat the core as a thin-walled beam. Consequently, when the core twists originally plane sections of the core warp. Because the core is restrained from warping by the foundation, and to a smaller extent

by the floor slabs, warping stresses somewhat similar to axial stresses are induced throughout the height of core walls. In buildings that are predominantly dependent on a core for torsional and lateral resistance, it is imperative that consideration be given to warping effects. Further explanation of this phenomenon is given in Chapter 9.

1.3 EXTERNAL LOADS

In this section, we will review loads typically considered in building design. These are

- Earthquake loads (Section 1.3.1)
- Wind loads (Section 1.3.2)
- Explosion effects (Section 1.3.3)
- Floods (Section 1.3.4)
- Vehicle impact loads (Section 1.3.5)

1.3.1 EARTHQUAKES LOADS

Earthquakes are catastrophic events that occur mostly at the boundaries of portions of the earth's crust called tectonic plates. When movement occurs in these regions, along faults, waves are generated at the earth's surface that can produce very destructive effects.

Aftershocks are smaller quakes that occur after all large earthquakes. They are usually most intense in size and number within the first week of the original quake. They can cause very significant re-shaking of damaged structures, which makes earthquake-induced disasters more hazardous. A number of moderate quakes (6+ magnitude on the Richter scale) have had aftershocks that were very similar in size to the original quake. Aftershocks diminish in intensity and number with time. They generally follow a pattern of being at least 1 large (within magnitude 1 on the Richter scale) aftershock, at least 10 lesser (within magnitude 2 on the Richter scale) aftershocks, 100 within magnitude 3 on the Richter scale, and so on. The Loma Prieta earthquake had many aftershocks, but the largest was only magnitude 5.0, with the original quake being magnitude 7.1.

Some of the most destructive effects caused by shaking as a result of the earthquake are those that produce lateral loads in a structure. The input shaking causes the foundation of a building to oscillate back and forth in a more or less horizontal plane. The building mass has inertia and wants to remain where it is and therefore, lateral forces are exerted on the mass in order to bring it along with the foundation. For analysis purposes, this dynamic action is simplified as a group of horizontal forces that are applied to the structure in proportion to its mass and to the height of the mass above the ground. In multistory buildings with floors of equal weight, the loading is further simplified as a group of loads, each being applied at a floor line, and each being greater than the one below in a triangular distribution (see Figure 1.27). Seismically resistant structures are designed to resist these lateral forces through inelastic action and must, therefore, be detailed accordingly. These loads are often expressed in terms of a percent of gravity weight of the building and can vary from a few percent to near 50% of gravity weight. There are also vertical loads generated in a structure by earthquake shaking, but these forces rarely overload the vertical load-resisting system. However, earthquake-induced vertical forces have caused damage to structures with high dead load compared to design live load. These vertical forces also increase the chance of collapse due to either increased or decreased compression forces in the columns. Increased compression may exceed the axial compressive capacity of columns while decreased compression may reduce the bending strength of columns.

In earthquake engineering, we deal with random variables and therefore the design must be treated differently from the orthodox design. The orthodox viewpoint maintains that the objective of design is to prevent failure; it idealizes variables as deterministic. This simple approach is still valid and applied to design under only mild uncertainty. But when confronted with the effects of earthquakes, this orthodox viewpoint seems so overtrustful as to be worthless. In dealing with

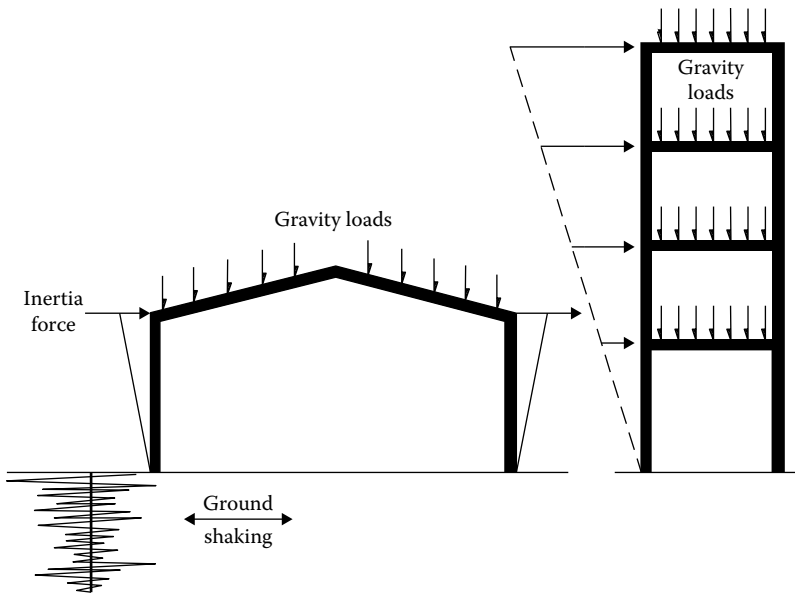


FIGURE 1.27 Earthquake loading: Dynamic action of earthquakes can be simplified as a group of horizontal forces that are applied to the structure in proportion to its mass, and to the height of the mass above the ground.

earthquakes, we must contend with appreciable probabilities that failure will occur in the near future. Otherwise, all the wealth of this world would prove insufficient to fill our needs: the most modest structures would be fortresses. We must also face uncertainty on a large scale while designing engineering systems—whose pertinent properties are still debated to resist future earthquakes—about whose characteristics we know even less.

Although over the years, experience and research have diminished our uncertainties and concerns regarding the characteristics of earthquake motions and manifestations, it is unlikely, though, that there will be such a change in the nature of knowledge to relieve us of the necessity of dealing openly with random variables. In a way, earthquake engineering is a parody of other branches of engineering. Earthquake effects on structures systematically bring out the mistakes made in design and construction, even the minutest mistakes. Add to this the undeniable dynamic nature of disturbances, the importance of soil structure interaction, and the extremely random nature of it all; it could be said that earthquake engineering is to the rest of the engineering disciplines what psychiatry is to other branches of medicine. This aspect of earthquake engineering makes it challenging and fascinating, and gives it an educational value beyond its immediate objectives. If structural engineers are to acquire fruitful experience in a brief span of time, expose them to the concepts of earthquake engineering, even if their interest in earthquake-resistant design is indirect. Sooner or later, they will learn that the difficulties encountered in seismic design are technically intriguing and begin to exercise that nebulous trait called engineering judgment to make allowance for these unknown factors.

1.3.2 WIND LOADS

Wind is a term used to describe horizontal motion of air. Motion in a vertical direction is called a current. Winds are produced by differences in atmospheric pressure that are primarily attributable to differences in temperature. These differences are caused largely by unequal distribution of heat from the sun, and the difference in thermal properties of land and ocean surfaces. When temperatures of adjacent regions become unequal, the warmer, lighter air rises and flows over the colder, heavier air. Winds initiated in this way are modified by rotation of the earth.

In describing global circulation of wind, modern meteorology relies on wind phrases used by early long-distance sailors. For example, terms like trade winds and westerlies were used by sailors who recognized the occurrence of steady winds blowing for long periods of time in the same direction.

Near the equator, the lower atmosphere is warmed by the sun's heat. The warm air rises, depositing much precipitation and creating a uniform low-pressure area. Into this low-pressure area, air is drawn from the relatively cold high-pressure regions from northern and southern hemispheres, giving rise to trade winds between the latitudes of 30° from the equator. The air going aloft flows counter to the trade winds to descend into these latitudes, creating a region of high pressure. Flowing northward and southward from these latitudes in the northern and southern hemispheres, respectively, are the prevailing westerlies, which meet the cold dense air flowing away from the poles in a low-pressure region characterized by stormy variable winds. It is this interface between cold, dense air and warm, moist air which is of main interest to the television meteorologists of northern Europe and North America.

As the air above hot earth expands and rises, air from cooler areas such as the oceans floats in to take its place. The process produces two types of wind circulation:

1. General global circulation extending around the earth
2. Smaller secondary circulations producing local wind conditions

Figure 1.28 shows a model of circulation of prevailing winds that result from the general movement of air around the earth. Observe that there are no prevailing winds within the equatorial belt, which lies roughly between latitudes 10° S and 10° N. Therefore, near the equator and up to about 700 miles (1127 km) on either side of it, there exists a region of relative calm called the doldrums. In both hemispheres, some of the air that has risen at the equator returns to the earth's surface at about 30° latitude, producing little or no wind. These high-pressure areas are called horse latitudes, possibly

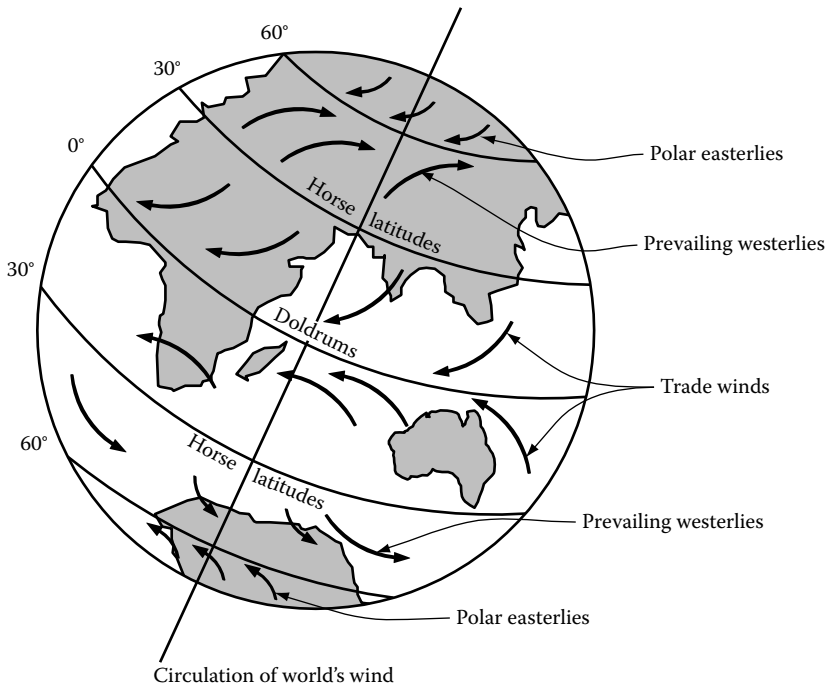


FIGURE 1.28 Circulation of world's winds.

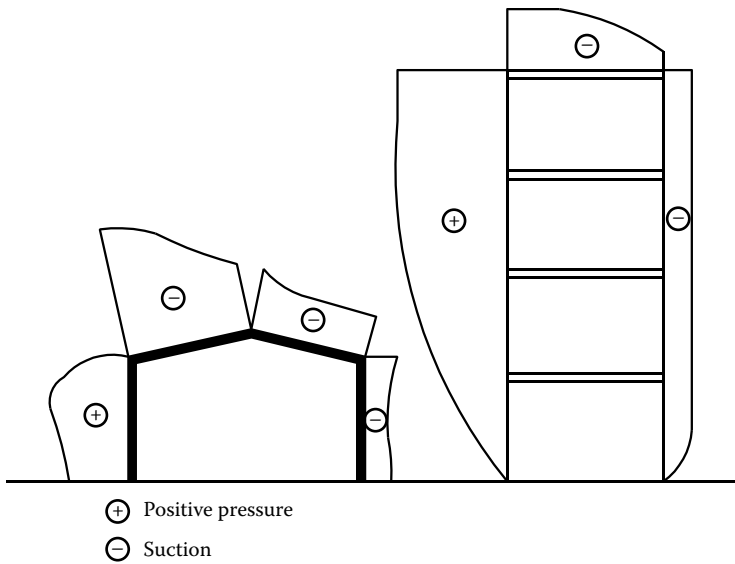


FIGURE 1.29 Wind load distribution: positive pressure on windward wall, and negative pressure (suction) on leeward wall and roof. For a hermetically sealed building, internal pressures cancel out, hence no effect on overall building loads.

because many horses died on the sailing ships that got stalled because of lack of wind. The winds that blow between the horse latitudes and the doldrums are called trade winds because sailors relied on them for sailing ships. The direction of trade winds is greatly modified by the rotation of the earth as they blow from east to west. Two other kinds of winds that result from the general circulation of the atmosphere are called the prevailing winds and the polar easterlies. The prevailing winds blow into the belts bounded by the horse latitudes and 60° north and south of the equator. Thus the moving surface air produces six belts of winds around the earth as shown in Figure 1.28.

Forces due to wind are generated on the exterior of the building based on its height, local ground surface roughness (hills, trees, and other buildings) and the square of the wind velocity. The weight of the building, unlike in earthquake design, has little effect on wind forces, but is helpful in resisting uplift forces. Unless the structure has large openings, all the wind forces are applied to the exterior surfaces of the building. This is in contrast to earthquake forces where both exterior and interior walls are loaded proportionally to their weight. Wind pressures act inward on the windward side of a building and outward on most other sides and most roof surfaces (see Figure 1.29). Special concentrations of outward force, due to aerodynamic lift, occur at building corners and roof edges, particularly so at overhangs. The overall structure is designed for the sum of all lateral and uplift pressures and the individual parts to resist the outward and inward pressure concentrations. They must be connected to supporting members to form a continuous load path. Forces are also generated on structures by airborne missiles such as those caused by dislodging of roofing gravel from neighboring buildings.

1.3.2.1 Extreme Wind Conditions

Extreme winds, such as thunderstorms, hurricanes, tornadoes, and typhoons, impose loads on structures that are many times more than those assumed in their design. Some standards, such as those published by the American National Standards Institute, provide for hurricane wind speeds for a specified probability of occurrence but do not consider directly the effect of other types of extreme wind conditions. A brief description of the characteristics of extreme winds and their effect on structures follows.

1.3.2.1.1 *Thunderstorms*

Thunderstorms are one of the most familiar features of temperate summer weather, characterized by long hot spells punctuated by release of torrential rain. The essential conditions for the development of thunderstorms are warm, moist air in the lower atmosphere and cold, dense air at higher altitudes. Under these conditions, warm air at ground level rises, and once it has started rising, it continues to rise faster and faster, building storm clouds in the upper atmosphere. Thunder and lightning accompany downpours, creating gusty winds that sometimes blow violently at great speeds. Wind speeds of 20–70 mph (9–31 m/s) are typically reached in a thunderstorm and are often accompanied with swirling wind action exerting high suction forces on roofing and cladding elements.

1.3.2.1.2 *Hurricanes*

Hurricanes are severe atmospheric disturbances that originate in the tropical regions of the Atlantic Ocean or Caribbean Sea. They travel north, northwest, or northeast from their point of origin and usually cause heavy rains. They originate in the doldrums and consist of high-velocity winds blowing circularly around a low-pressure center known as the eye of the storm. The low-pressure center develops when the warm saturated air prevalent in the doldrums interacts with the cooler air. From the edge of the storm toward its center, the atmospheric pressure drops sharply and the wind velocity rises. In a fully developed hurricane, winds reach speeds up to 70–80 mph (31–36 m/s), and in severe hurricanes, it can attain velocities as high as 200 mph (90 m/s). Within the eye of the storm, the winds cease abruptly, the storm clouds lift, and the seas become exceptionally violent.

The maximum basic wind velocity (3 s gust) for any area of the United States specified in ASCE 7-05 is 150 mph (67 m/s), which is less than the highest wind speeds in hurricanes. Except in rare instances, such as defense installations, a structure is not normally designed for full hurricane wind speeds.

Hurricanes are one of the most spectacular forms of terrestrial disturbances and produce the heaviest rains known on earth. They have two basic components, warmth and moisture, and consequently they develop only in the tropics. Almost invariably they move in a westerly direction at first and then swing away from the equator, either striking land with devastating results or moving out over the oceans until they encounter cool surface water and die out naturally. The region of greatest storm frequency is the northwestern Pacific, where the storms are called typhoons, a name of Chinese origin meaning “wind which strikes.” The storms that occur in the Bay of Bengal and the seas of north Australia are called cyclones. Although there are some general characteristics common to all hurricanes, no two are exactly alike. However, a typical hurricane can be considered to have a 375 mile (600 km) diameter, with its circulating winds spiraling in toward the center at speeds up to 112 mph (50 m/s). The size of the eye can vary in diameter from as little as 3.7–25 miles (6–40 km). However, the typhoon that roared past the island of Guam in 1979 had a very large diameter of 1400 miles (2252 km) with the highest wind reaching 190 mph (85 m/s). Storms of such violence have been known to drive a plank of wood right through the trunk of a tree and blow straws end-on through a metal deck. Fortunately, storms of such magnitude are not common.

1.3.2.1.3 *Tornadoes*

Tornadoes develop within severe thunderstorms and occasionally in hurricanes. They consist of a rotating column of air usually accompanied by a funnel-shaped downward extension of a dense cloud having a vortex of several hundred feet, typically 200–800 ft (61–244 m) in diameter whirling destructively at speeds up to 300 mph (134 m/s). A tornado contains the most destructive of all wind forces, usually destroying everything along its path of approximately 10 miles (16 km) long and directed predominately toward the northeast. Tornadoes form when a cold storm front runs over warm, moist surface air. The warm air rises through the overlaying cold storm clouds and is intercepted by the high-altitude winds, which are even colder and are rapidly moving above the clouds. Warm air collides with the cooler air and begins to whirl. The pressure at the center of the spinning column of air is reduced because of the centrifugal force. This reduction in pressure causes more warm air to be sucked into it, creating a violent outlet for the warm air trapped under the storm.

As the velocity increases, more warm air is drawn in to the low-pressure area created in the center of the vortex. As the vortex gains strength, the funnel begins to extend toward the ground, eventually touching it. Funnels usually form close to the leading edge of the storm. Larger tornadoes may have several vortices within a single funnel. If the bottom of the funnel can be seen, it usually means that the tornado has touched down and begun to pick up visible debris from the ground. A typical tornado travels 20–30 mph (9–14 m/s), touches ground for 5–6 miles (8–10 km), and has a funnel 300–500 ft (92–152 m) wide. Distance from the ground to the cloud averages about 2000 ft (610 m). Although it is impractical to design buildings to sustain a direct hit from a tornado, it behooves the engineer to pay extra attention to anchorage of roof decks and curtain walls for buildings in areas of high tornado frequency. Rolling plains and flat country make a natural home for tornadoes. Statistically, flat plains get more tornadoes than other parts of the country. In North America, communities in Kansas, Nebraska, and Texas experience many tornadoes and are classified as “tornado belt” areas. No accurate measurement of the inner speed of a tornado has been made because tornadoes destroy standard measuring instruments. However, photographs of tornadoes suggest the wind speeds are of the order of 167–224 mph (75–100 m/s). Although there definitely are tornado seasons, tornadoes can occur at any time. Like a hurricane, the tornado consists of a mass of unstable air rotating furiously and rising rapidly around the center of an area with low atmospheric pressure. The similarity ends here, because whereas the hurricane is generally of the order of 300–400 miles (483–644 km) in diameter, a large tornado is unlikely to be more than 1500 feet (458 m) across. However, in terms of destructive violence, no other atmospheric disturbance comes even close to that caused by tornadoes.

Although the probability of any one particular building being hit by a tornado is very small (less than 10 per year), tornadoes account for the greatest incidence of death and serious injury of building occupants due to structural failure and cause considerable economic loss. With some exceptions, such as nuclear power plants, it is generally not economical to design buildings for tornadoes. It is, however, important to provide key construction details for the safety of building occupants. Investigations of tornado-damaged areas have shown that the buildings in which well over 90% of the occupants were killed or seriously injured by tornadoes did not satisfy the following two key details of building construction:

- The anchorage of house floors into the foundation or ground (the floor takes off with the occupant in it)
- The anchorage of roofs to concrete block walls (the roof takes off and the unsupported block wall collapses onto the occupants)

Deficiency of the second construction detail is especially serious for open assembly occupancies because there is nothing inside, such as stored goods, to protect the occupants from wall collapse. For such buildings in tornado-prone areas, it is recommended that the block walls contain vertical reinforcing linking the roof to the foundation.

For tornado protection, key details such as those indicated above should be designed on the basis of a factored uplift wind suction of 50 psf on the roof, a factored lateral wind pressure of 25 psf on the windward wall, and suction of 50 psf on the leeward wall, as recommended by the National Building Code of Canada, NBC 2005.

1.3.3 EXPLOSION EFFECTS

Explosions occur when a solid or concentrated gas is transformed into a large volume of hot gases in a fraction of a second. In the case of high explosives, detonation conversion of energy occurs at a very high rate (as high as 4 miles/s), while low explosives such as gunpowder undergo rapid burning at the rate of about 900 ft/s. The resulting rapid release of energy consists of sound (bang), heat and light (fireball), and a shock wave that propagates radially outward from the source at subsonic

speeds for most low explosives and supersonic speeds for high explosives. It is the shock wave consisting of highly compressed particles of air that causes most of the damage to structures. When natural gas explosions occur within structures, gas pressures can build up within confined spaces, causing extensive damage. In all explosions, large, weak, and/or lightly attached wall, floor and roof surfaces may be blown away. The columns and beams may survive a blast, but their stability may be compromised by the removal of their bracing elements such as floor diaphragms. In large explosions, concrete slabs, walls, and even columns may be blown away, leading to conditions that will produce progressive collapse. In 1967, a progressive collapse started when a natural gas explosion caused the collapse of an exterior wall on the 18th floor of a 22-story building. The force of falling debris from floors 19 to the roof then caused the remaining floors to collapse in that section of the building. In the case of an exterior explosion such as from a bomb, the shock wave is initially reflected and amplified by the building face and then penetrates through openings, subjecting floor and wall surfaces to great pressure. Diffraction occurs as the shock propagates around corners, creating areas of amplification and reduction in pressure. Finally the entire building is engulfed by the shock wave, subjecting all building surfaces to the overpressure. A secondary effect of an air blast is a very high velocity wind that propels the debris, which becomes deadly missiles. In very large explosions at close proximity to building surfaces, the effect can be so severe that the structure is locally disintegrated and separated away from the main structure.

1.3.4 FLOODS

Forces are generated on buildings due to hydrostatic lateral and lifting pressure, hydrodynamic forces, and debris impacts. Hydrostatic pressures can overload foundation and basement walls and lift up structures, when water level is not equalized between exterior and interior spaces. River and ocean currents may load frontal and side walls that are submerged and ocean waves can produce pressures as high as 1000 psf. Debris varying in sizes from floating wood pieces to floating structures can impact a building, causing anything from broken windows to a total collapse.

1.3.5 VEHICLE IMPACT LOADS

Structures have been severely damaged and set on fire by vehicle impacts. The most hazardous configurations include soft (high, open) first stories and open-front buildings typical of retail one and two-story structures.

1.4 LATERAL LOAD-RESISTING SYSTEMS

It can be said that there are as many types of lateral systems as there are engineers. However, most of the systems can be grouped into three basic types: (1) shear wall system, (2) frame system, and (3) combination of the two, the shear wall–frame system (dual system). Perhaps the most common of the three for design of buildings taller than, say 40 stories, is the dual system. However, in recent years (2009), there is a trend to push the height limits of the “core-only” type of shear wall systems without moment frames even for buildings assigned to high SDCs. These aspects are discussed in more detail in Chapter 9.

The selection of a structural system for buildings is influenced primarily by the intended function, architectural considerations, internal traffic flow, height, and aspect ratio, and to a lesser extent, the intensity of loading. The selection of a building’s configuration often dictated by architectural considerations is perhaps one of the most important aspects of the overall design. It may impose severe limitations on the structure in its role to provide seismic protection. Some structural forms of construction such as a flat slab system supported by columns are considered unsuitable on its own to provide satisfactory performance under seismic actions although its use is quite prevalent in areas of low seismicity. The seismic issue arises because of excessive lateral displacements and the difficulty of providing for adequate and dependable shear transfer between columns and slabs.

Structural systems considered in this section are as follows:

- Shear walls (Section 1.4.1)
- Coupled shear walls (Section 1.4.2)
- Moment-resistant frames (Section 1.4.3)
- Dual systems (Section 1.4.4)

1.4.1 SHEAR WALLS

Buildings engineered with structural walls are almost always stiffer than framed structures, reducing the possibility of excessive deformations and hence damage. The necessary strength to avoid structural damage under earthquakes can be achieved by providing a properly detailed longitudinal and transverse reinforcement. By adopting special detailing measures, dependable ductile response can be achieved under major earthquakes.

Lateral forces, that is, the forces applied horizontally to a structure derived from winds or earthquakes cause shear and overturning moments in walls. The shear forces tend to tear the wall just as if you had a piece of paper attached to a frame and changed the frame's shape from a rectangle to a parallelogram (see Figure 1.30). The changing of shape from a rectangle to a parallelogram is generally referred to as racking. At the ends of shear walls, there is a tendency for the wall to be lifted up at the end where the lateral force is applied, and a tendency for the wall to be pushed down at the end away from the force. This action provides resistance to overturning moments.

Because of a large fraction of, if not the entire, lateral shear force is often assigned to structural walls, they are usually referred to as shear walls. The name is in appropriate, for it presupposes that shear controls their behavior. This need not be so. And in earthquakes design, this must not be so: every attempt should therefore be made to inhibit inelastic shear modes of deformations. This is achieved readily in practice because shear walls provide nearly optimum means of providing stiffness, strengths, and ductility.

To receive gravity loads from the floors and roof, while simultaneously providing resistance to wind and seismic loads, the walls are typically connected by floor and roof planes often referred to as diaphragms. These planes act like giant beams as stresses in tension and compression are

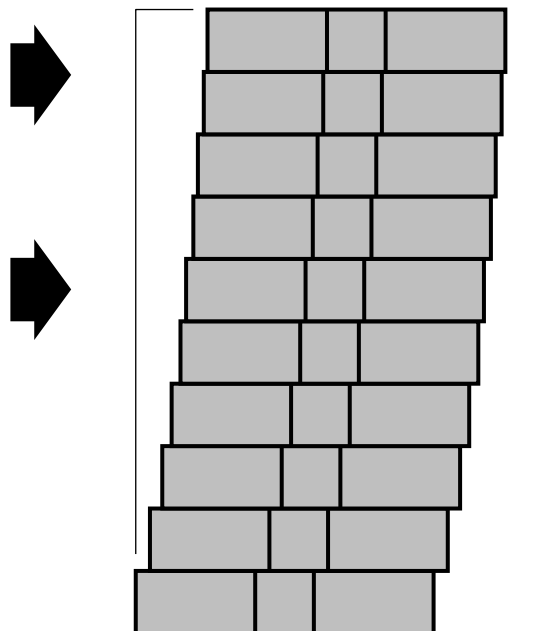


FIGURE 1.30 Shear deformations in a shear wall.

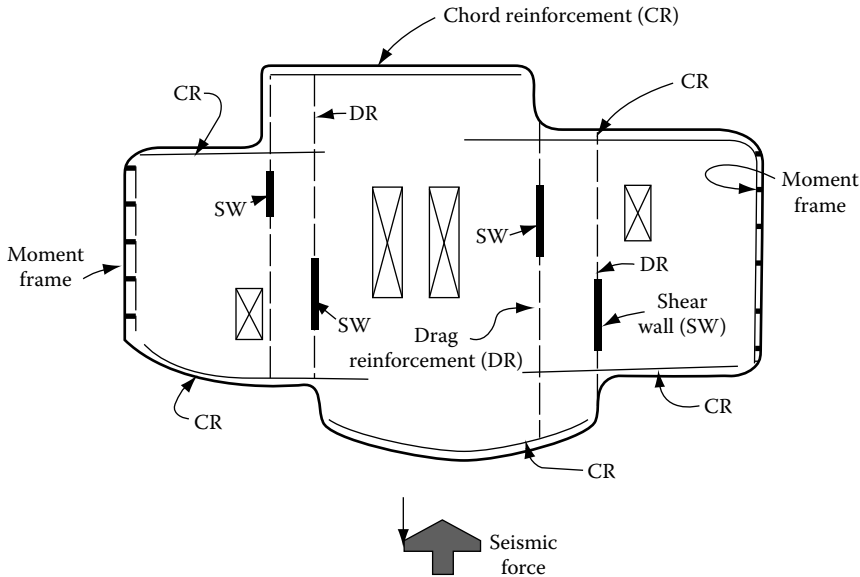


FIGURE 1.31 Diaphragm concept. *Note:* Seismic elements in the E–W direction not shown for clarity.

generated at the edges while shear stresses are distributed throughout the plane. The diaphragm spans horizontally between vertical elements resisting lateral loads as illustrated in Figure 1.31.

The first task of the designers will be to select a structural system most conducive to satisfactory wind and seismic performance within the constraints dictated by architectural requirements. They should discuss alternative structural configurations at the earliest stage of concept development to ensure that undesirable geometry is not locked-in to the system before structural design begins. Irregularities, often unavoidable, contribute to the complexity of structural behavior. When not recognized, they may result in unexpected damage and even collapse. Drastic changes in geometry, interruptions in load paths, discontinuities in both strength and stiffness, disruptions in critical regions by openings, unusual proportions of members, reentrant corners, lack of redundancy, and interference with structural deformations are only a few of the possible structural irregularities. The recognition of many of these irregularities and of conceptions for remedial measures for the avoidance or mitigation of their undesired effects relies on sound understanding of structural behavior. Awareness to search for undesired structural features and design experience in mitigating their adverse effects are invaluable attributes.

When functional requirements permit it, resistance to lateral forces may be assigned entirely to structural walls. Usually, there are also other elements within such a building, which are assigned to carry only gravity loads. Their contribution to lateral force resistance, if any, is often neglected. However, for buildings assigned to SDC D or higher, deformation compatibility of these elements must be verified using magnified elastic displacement. This aspect is discussed in Chapter 4 in more detail.

For buildings up to 20 stories, the use of structural walls is often a matter of choice. For buildings over 30 stories, structural walls may become imperative from the point of view of economy and control of lateral deflection.

Individual walls may be subjected to axial, translational, and torsional displacements. The extent to which a wall will contribute to the resistance of overturning moments, story shear forces, and story torsion depends on its geometric configuration, orientation, and location within the building. While it is relatively easy to accommodate any kind of wall arrangements to resist wind forces, it is much more difficult to ensure satisfactory overall building response to large earthquakes when wall locations deviate considerably. This is because, in the case of wind, a fully elastic response is expected, while during large earthquake demands, inelastic deformations will arise.

The major structural considerations for individual structural walls will be aspects of symmetry in stiffness torsional stability, and available overturning capacity of the foundations. The key in the

strategy of planning for structural walls is the desire that the inelastic deformations be distributed reasonably uniformly over the whole plan of the building rather than being allowed to concentrate in only a few walls. The latter case leads to the underutilization of some walls, while others might be subjected to excessive ductility demands.

Elevator shafts and stairwells lend themselves to the formation of reinforced concrete core. Traditionally, these have been used to provide the major component of lateral force resistance in multistory office and residential buildings. Additional resistance may be derived, if necessary, from perimeter frames shown in Figure 1.32. Such a centrally positioned large core may also provide sufficient torsional resistance without requiring additional perimeter framing.

In choosing suitable locations for structural walls, the following additional aspects should be considered:

1. For the best torsional resistance, locate as many of the walls as possible at the periphery of the building. Such an example is shown in Figure 1.33. The walls on each side may be single or they may be coupled to each other.
2. Route as much gravity load to the foundations as possible via a structural wall. This will lower the demand for flexural reinforcement in that wall. And more importantly uplift forces will be reduced in the foundations.

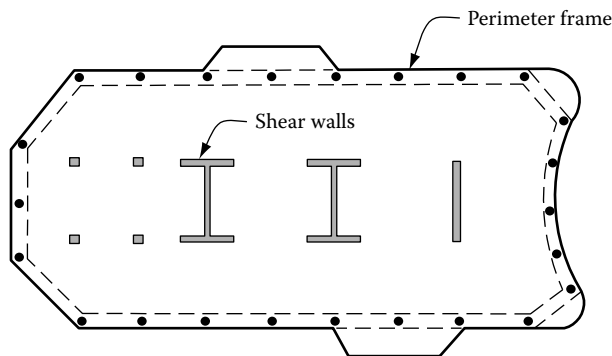


FIGURE 1.32 Floor plan: building with shear walls and perimeter frame.

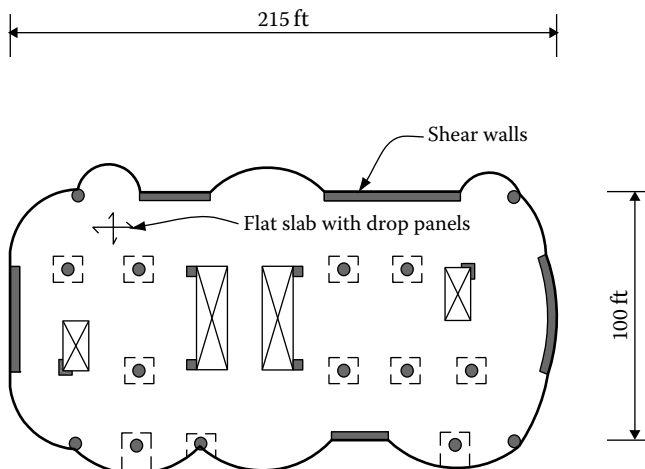


FIGURE 1.33 Building with perimeter shear walls.

1.4.2 COUPLED SHEAR WALLS

In many shear wall buildings, a regular pattern of openings will be required to accommodate windows or doors or both. Highly efficient structural systems, particularly suited for ductile response with good energy dissipation characteristics, can be conceived when openings are arranged in a regular pattern. Examples are shown in Figure 1.34 where a number of walls are interconnected or coupled to each other by beams. These walls are generally referred to as coupled shear walls.

The load-resisting mechanisms in a coupled shear wall are shown qualitatively in Figure 1.35b and c. It is seen that the total overturning moment, M , in the wall without openings shown in Figure 1.35a, is resisted at the base entirely by flexural stresses. On the other hand, in the coupled walls shown in Figure 1.35b and c, axial forces as well as moments occur at the base to resist the overturning moment, M , resulting in the following equilibrium statement:

$$M = M_1 + M_2 + Td \tag{1.18}$$

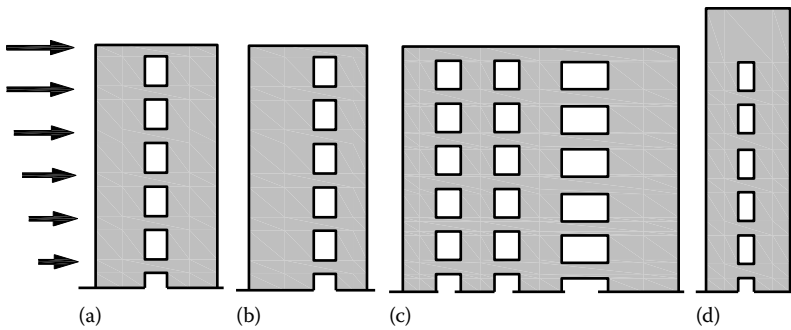


FIGURE 1.34 Shear walls with openings.

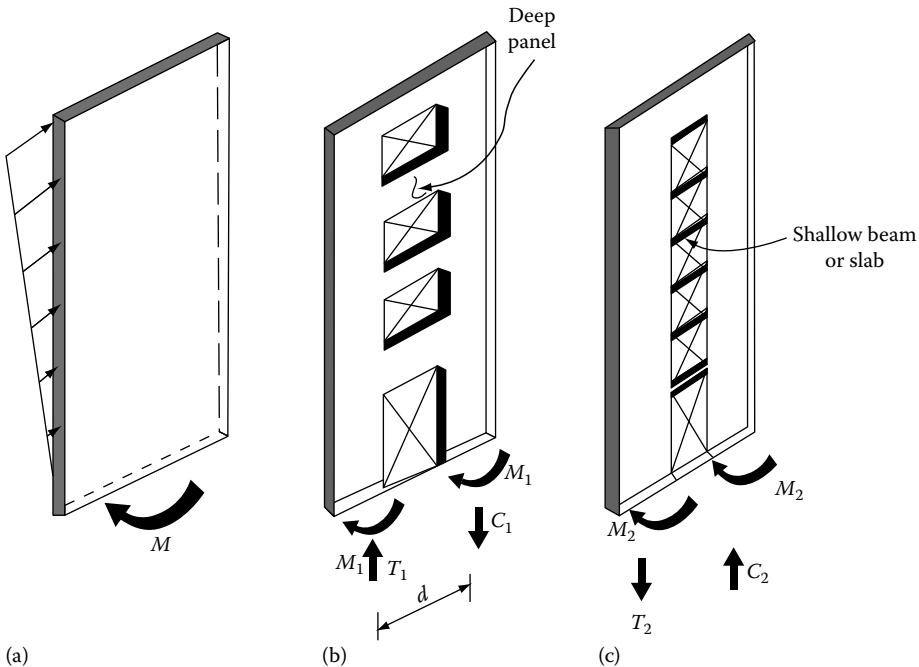


FIGURE 1.35 Lateral load-resistance of single and coupled shear walls.